1	IN THE UNITED STATES DISTRICT COURT
2	FOR THE EASTERN DISTRICT OF PENNSYLVANIA
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4	IN RE: PROCESSED EGG PRODUCTS: MDL NO. 2002 ANTITRUST LITIGATION 08-MDL-02002
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7	PHILADELPHIA, PA
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9	NOVEMBER 26, 2019
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L2	BEFORE: THE HONORABLE GENE E.K. PRATTER, J.
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L5	TRANSCRIPT OF TRIAL PROCEEDINGS
L 6	DAY 17
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24	(226)
25	(Transcript produced by mechanical shorthand via C A T

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- 1 can find something.
- 2 MR. BLECHMAN: We need to move in a different
- 3 direction.
- 4 THE COURT: Nobody wants to be shaped like an egg,
- 5 for goodness sakes.
- 6 THE DEPUTY CLERK: All rise.
- 7 (Jury in.)
- 8 THE COURT: Okay, we've got a little bit of a blue
- 9 thing going. Have a seat, everybody.
- 10 Michael.
- 11 (Discussion off the record.)
- 12 THE COURT: We had a little bit of a housekeeping
- 13 matter to attend to, so I hope it gave you all a moment, an
- 14 extra moment to begin your celebrations, which I understand
- 15 are in order. Nobody looks a day older than they did
- 16 yesterday. The good news. And Dr. Armstrong is back on the
- 17 stand.
- 18 You're still under oath, as you know, of course.
- 19 And, Mr. Blechman, you may resume.
- MR. BLECHMAN: Thank you, Your Honor.
- 21 CROSS-EXAMINATION (continuation)
- 22 BY MR. BLECHMAN:
- 23 Q. Dr. Armstrong, good morning.
- 24 A. Good morning.
- 25 Q. I want to pick up where we left off yesterday and we'll

- 1 move through a number of subjects over the course of the
- 2 remainder of my cross-examination. I want to -- I want to
- 3 begin with the subject of payments that you received from UEP,
- 4 it's a subject about which you were questioned on direct
- 5 examination yesterday. Do you remember that subject?
- 6 A. Yes, sir.
- 7 Q. All right. In February of 2004, you asked Gene Gregory
- 8 to be paid as a consultant outside of the work that you were
- 9 doing for the Science Advisory Committee, true?
- 10 A. Yes.
- 11 Q. You asked him to keep the fact of you being paid
- 12 confidential, or as you put it, strictly between you and
- 13 Mr. Gregory; is that true?
- 14 A. I believe so, yes.
- 15 Q. You told him you didn't want a contract, correct?
- 16 A. That's correct.
- 17 Q. You didn't want a contract, you told him, because if you
- 18 were asked by your colleagues on the Scientific Advisory
- 19 Committee, if you had a contract with the UEP, you could
- 20 truthfully answer to them, no, true?
- 21 A. That I was not an employee of UEP.
- 22 Q. And you had no contract with UEP?
- 23 A. No, we did not have a contract.
- Q. And you wanted to be able to tell your colleagues on the
- 25 Scientific Advisory Committee that you didn't have a contract,

- 1 you weren't an employee, you were like them?
- 2 A. I actually told a couple of members on the Scientific
- 3 Advisory Committee. I just wanted my consulting to be private
- 4 and I did not really want Gene to put it in United Voices.
- 5 MR. BLECHMAN: 543, please.
- 6 Your Honor, may I approach?
- 7 THE COURT: Yes.
- 8 BY MR. BLECHMAN:
- 9 Q. Mr. Armstrong -- excuse me, Dr. Armstrong -- I
- 10 apologize -- I've handed you what's been marked as Plaintiffs'
- 11 Exhibit 543. It's an e-mail from you to Mr. Gregory dated
- 12 February 24, 2004, at 6:19 p.m. Do you have that there?
- 13 A. I do.
- 14 Q. Sir, did you, in fact, send this e-mail to Gene Gregory
- in connection with your work for UEP?
- 16 A. Yes, I did.
- 17 MR. BLECHMAN: Your Honor, Plaintiffs offer
- 18 Exhibit 543 in evidence.
- 19 THE COURT: Any objection?
- MS. SUMNER: Yes, Objection. Hearsay.
- 21 THE COURT: Overruled.
- 22 (Exhibit received in evidence.)
- 23 BY MR. BLECHMAN:
- 24 Q. Dr. Armstrong, directing your attention to the first
- 25 e-mail in this chain -- excuse me.

- 1 MR. BLECHMAN: Permission to publish to the jury.
- 2 THE COURT: Go ahead.
- 3 BY MR. BLECHMAN:
- 4 Q. Dr. Armstrong, directing your attention to the first
- 5 e-mail in the chain at the very top, you write to him:
- 6 Gene -- that's Mr. Gregory, correct?
- 7 A. Yes.
- 8 Q. And you describe the fact that you want to be paid money
- 9 from the UEP in addition to the money you were receiving as a
- 10 member of the Science Advisory Committee, correct?
- 11 A. Yes.
- 12 Q. And in the last -- next-to-last paragraph, you write: I
- 13 think this arrangement is better for you and I. If I am asked
- if I am an UEP employee or on a contract then I can truthfully
- 15 say no.
- That's what you wrote to him, correct?
- 17 A. That's accurate.
- 18 O. But you were, in fact, consulting for UEP or
- 19 contemplating consulting for UEP, were you not?
- 20 A. Yes, I did, because as I mentioned yesterday, I did not
- 21 want this to be in the United Voices, and I frankly didn't
- 22 want to be a target of animal rights activists, and for other
- 23 reasons.
- 24 Q. Do you think that -- withdrawn.
- Ultimately you, in fact, consummated an arrangement

- 1 with Mr. Gregory and the UEP to be paid on a consulting basis,
- 2 correct?
- 3 A. Yes, for work I was doing separate of the Scientific
- 4 Committee.
- 5 Q. Without a contract, correct?
- 6 A. Yes. And that's not atypical for a university person.
- 7 Q. Without anything in writing, correct?
- 8 A. Just back and forth in e-mail.
- 9 Q. If asked by the members of the Scientific Advisory
- 10 Committee if you had any other kind of financial arrangement
- 11 with the UEP, your -- you did not want a contract so that you
- 12 could answer, no, I don't have a contract with the UEP,
- 13 correct?
- 14 A. No, not to those individuals. I did not want the animal
- 15 rights activists -- in fact, I told some of the members of the
- 16 Advisory Committee that I was doing that.
- 17 Q. In fact, you didn't say that in your e-mail, did you?
- 18 A. No, I didn't. This is one snapshot in time and it is
- 19 what it is. But I can tell you that I told several members --
- 20 some members of the Advisory Committee.
- 21 Q. Do you think it was being transparent with the UEP to not
- 22 have a contract with them, something in writing that reflected
- 23 the fact that you had a consulting arrangement with the UEP
- 24 separate from the work you were doing for the Science Advisory
- 25 Committee?

- 1 A. Oh, I fully believed, and I'm sure that Gene and UEP
- 2 were -- beyond Gene were aware of it because they approved the
- 3 budget.
- 4 Q. How about other members of the, say, UEP Producer --
- 5 Producer Committee, did they know?
- 6 A. I don't know. I don't know unless Gene told them.
- 7 Q. You didn't tell them, did you?
- 8 A. I did not, but they knew I was a consultant. I was
- 9 listed on the minutes as a consultant at times.
- 10 Q. In February of 2004, Mr. Gregory agreed to pay you 11 to
- 11 \$12,000 a year for your consulting arrangement, correct?
- 12 A. That's correct. All totaled, it was probably over ten
- 13 years, about 70, \$75,000.
- 14 Q. Right. You explained that yesterday. So you were paid
- 15 11 to \$12,000 a year, each year until through 2011, correct?
- 16 A. I don't know how much I was paid and I don't remember if
- 17 it was every year, but it probably was every year.
- 18 O. Okay. Mr. Gregory wanted to use you as a media
- 19 spokesperson for the UEP's public relations people, true?
- 20 A. Yes. I went on egg farms with reporters, I did
- 21 interviews, interviewed members of the public. I did a lot of
- 22 work. And what caused me to want to do this is I was spending
- 23 the time --
- Q. Dr. Armstrong, I didn't ask you what you caused you, I
- 25 just wanted to establish that's what you did.

- 1 A. That's what I did.
- 2 Q. And that was a role you were comfortable in as a media
- 3 spokesperson for the UEP, as you described just now, correct?
- 4 A. I did a lot of work for them in overarching social
- 5 responsibility in the food chain, and I was comfortable with
- 6 that, yes.
- 7 Q. All right. So you had a consulting arrangement with the
- 8 UEP that lasted for years, true?
- 9 A. Yes. It did.
- 10 Q. Without a contract, true?
- 11 A. Yeah, that's true.
- 12 Q. During the course --
- 13 A. That's not atypical for a university faculty member to do
- 14 that without a contract. That -- that would be more abnormal
- 15 for a university person to have a contract.
- 16 Q. Did I ask you if it was typical or atypical for a
- 17 university president [sic]?
- 18 A. You asked me about my relationship with UEP and I'm
- 19 explaining it.
- 20 Q. Thank you.
- 21 And in connection with this noncontractual
- 22 relationship you had, you did public relations for them, true?
- 23 A. I did public relations, I did a lot of things.
- 24 Q. You did TV interviews for them, true?
- 25 A. Um-hum.

- 1 Q. Is that a yes?
- 2 A. That's a yes.
- 3 Q. And you did our work as well, true?
- 4 A. Yes.
- 5 Q. In addition to this sort of off-the-book, noncontract
- 6 consulting arrangement that you had with the UEP, you also
- 7 asked the UEP to pay \$18,000 a year to a discretionary fund
- 8 that you had at Michigan State University, correct?
- 9 A. Yes.
- 10 Q. And the discretionary fund at MSU, did any of those
- 11 dollars make their way to any sort of activity at MSU with
- 12 which you had some academic involvement?
- 13 A. I honestly don't recall how I used those funds, but being
- 14 discretionary means a university department head, a dean or
- 15 president, you can use it at your discretion but according to
- 16 the rules.
- 17 Q. UEP paid you -- excuse me. Withdrawn.
- 18 UEP paid the MSU, Michigan State University
- 19 discretionary fund \$18,000 a year beginning in or about March
- 20 of 2004, true?
- 21 A. Yes.
- 22 Q. And it did so, that is to say, paid \$18,000 a year to the
- 23 MSU discretionary fund every year from 2004 until you finished
- your work for UEP in about 2011, true?
- 25 A. Yes. Which is common for companies to donate to

- 1 universities, very common. And that was all very transparent
- 2 and reported, as was my relationship with UEP was reported to
- 3 Michigan State.
- 4 Q. Did you tell members of the Science Advisory Committee,
- 5 sir, that UEP was paying MSU an \$18,000 a year contribution to
- 6 the MSU discretionary fund?
- 7 A. Yeah, I told Joy and Janice about all of that. And, in
- 8 fact, later, we sought UEP's help in securing donations for a
- 9 research building at Michigan State and we asked the industry
- 10 to help do that. That's what you do at university --
- 11 Q. When did you solicit this additional contribution from
- 12 UEP for Michigan State University?
- 13 A. Oh, the building wasn't even -- wasn't completed when I
- 14 left, so it was much later.
- 15 O. Give us -- give us a ballpark of the timing, please.
- 16 A. Probably '09 or so.
- 17 Q. 2009?
- 18 A. We would have to ask to ask Janice Swanson, who was
- 19 leading the project.
- 20 Q. But I have you here. Does 2009 sound about right?
- 21 A. I'm not sure. That sounds about right.
- 22 Q. Okay. And how much money are we talking about that you
- 23 were asking UEP to contribute to MSU?
- 24 A. I don't think UEP contributed anything to the building.
- 25 I think we -- we talked to a lot of members in the industry,

- 1 but I don't have access to MSU donations anymore, but --
- 2 Q. What --
- 3 A. -- the industry in Michigan and others contributed, which
- 4 is the normal thing.
- 5 Q. Dr. Armstrong, do you have a memory of what was the ask
- 6 of UEP in terms of dollars?
- 7 A. I do not.
- 8 Q. Okay.
- 9 A. Because we did not -- I don't think UEP contributed
- 10 directly to the building, but the animal -- the animal welfare
- 11 research was such a state that there were really not buildings
- 12 to do the work.
- 13 Q. Dr. Armstrong, all I'm trying to do for the benefit of
- 14 the jury is to establish in rough terms when this happened --
- 15 you told us about 2009 -- and some idea of how much money
- 16 we're talking about.
- 17 A. I don't recall the exact year. You said 2009, sir. I
- 18 really don't recall.
- 19 Q. Okay. It was -- the ask was made while you were still at
- 20 Michigan State, true?
- 21 A. That's true, the building project started.
- 22 Q. And when did you leave Michigan State?
- 23 A. 2011.
- 24 Q. Okay. So sometime before 2011. And my other question to
- 25 you -- and if you don't remember, you just tell us -- is

- 1 some -- approximately how much money are we talking about in
- 2 terms of the ask --
- 3 A. I don't --
- 4 Q. -- from UEP?
- 5 A. I do not recall --
- 6 Q. Okay.
- 7 A. -- that we even asked money from UEP. I -- we raised
- 8 about \$180 million in ten years when I was at Michigan State.
- 9 So I don't remember specific donations.
- 10 Q. Okay.
- 11 A. That's part of how you're judged, is how much money you
- 12 raise.
- 13 Q. And that's partly why I was asking you, Dr. Armstrong,
- 14 because as you volunteered to the jury on your direct exam, as
- 15 the president of the university, you're very involved in
- 16 fundraising, it's a big part of your job, right?
- 17 A. Yes, it is.
- 18 O. So having some idea of how much money you're asking for
- 19 people to contribute is something that's part of your job
- 20 duties, correct?
- 21 A. It is.
- 22 Q. All right. And so keeping track of how much you're
- 23 asking from whom is something that would matter in connection
- 24 with fundraising, true?
- 25 A. It would, and I could tell you a lot that's going on

- 1 right now, but ten years ago, is ten years ago.
- 2 Q. Let's move to another subject, if we might. Backfilling.
- 3 I want to start by just orienting everyone to what is
- 4 backfilling.
- 5 Am I correct that you believe backfilling is a
- 6 practice where birds die in a cage over a period of time and
- 7 then birds are replaced?
- 8 A. That was the way the committee and we viewed -- we viewed
- 9 it, replacing birds that were mortalities.
- 10 Q. And it's also the way you, sir, define backfilling, true?
- 11 A. Yes.
- 12 Q. Okay. Now, yesterday, you were shown Defendants'
- 13 Exhibit 409.
- 14 MR. BLECHMAN: If we might put that up for the
- 15 jury's benefit, please. We're getting there. Ah, okay.
- 16 BY MR. BLECHMAN:
- 17 Q. Exhibit 409, Dr. Armstrong, this is the letter that you
- 18 identified yesterday as one that you wrote to Paul Bahan,
- 19 the -- of the UEP's Producer Committee dated October 4, 2004,
- 20 true?
- 21 A. Yes.
- 22 Q. And this letter, you explained to Mr. Bahan your
- 23 opposition to the -- to backfilling, true?
- 24 A. I explained the committee's opposition to backfilling.
- 25 Q. The committee opposition to backfilling. That's

- 1 contained in Exhibit D-409, true?
- 2 A. I have D-0665, but it's October 4th letter.
- 3 Q. Oh, I'm sorry.
- 4 A. I just wanted to make sure we're talking about the same
- 5 letter.
- 6 Q. I think we are, and what's on the screen is, I believe,
- 7 the identical letter?
- 8 A. That's the same one. We're talking about the same
- 9 letter.
- 10 Q. Perfect, okay.
- 11 A. Yeah.
- 12 Q. So I just wanted to have you identify that first. So we
- 13 set -- we set a temporal reference, context for what we're
- 14 going to talk about next.
- MR. BLECHMAN: Could you -- would you mind moving
- 16 that easel right over here?
- 17 Excuse me, Your Honor.
- 18 BY MR. BLECHMAN:
- 19 Q. All right. So, Dr. Armstrong, what I want to do now is,
- 20 with your help, I'd like to go over the timeline for -- that
- 21 ends with your October 4, 2004, letter to the UEP's Producer
- 22 Committee explaining your and the committee's -- the Science
- 23 Advisory Committee's opposition to the backfilling ban.
- 24 Are you with me?
- 25 A. Yes.

- 1 Q. Okay. So let's start. Okay, I'm going to just write
- 2 "backfilling" up at the top. Hopefully my scribble is
- 3 decipherable. And let's begin by stepping back to January 23
- 4 of 2003. In January -- on January 23, 2003, the UEP Producer
- 5 Committee, it actually allows for backfilling, does it not?
- 6 Do you have a memory of that, sir?
- 7 A. I know -- what I know is we substantially set the
- 8 guidelines in 2000. They did not --
- 9 Q. Dr. Armstrong --
- 10 A. I'm answering your question. They did not substantially
- 11 change --
- 12 O. Excuse me, Dr. Armstrong. I want to try to --
- 13 A. -- and we were not aware of backfilling until it was
- 14 brought to our attention.
- 15 Q. Dr. Armstrong --
- 16 A. I'm not sure what date.
- 17 Q. Sir, please. I'm going to -- I want to try to move this
- 18 through.
- 19 A. Sure.
- 20 Q. If you've got other things to say, your attorney can
- 21 bring it out.
- 22 My question to you was: In January -- on or about
- 23 January 23, 2003, were you aware that the UEP Producer
- 24 Committee actually allowed backfilling?
- 25 A. We were aware that backfilling was occurring and the

- 1 committee did not like it.
- 2 MR. BLECHMAN: Okay. Exhibit -- Plaintiffs'
- 3 Exhibit 164, let's publish that for the jury -- it's in
- 4 evidence -- please. And directing -- well, let me wait until
- 5 it's up.
- 6 BY MR. BLECHMAN:
- 7 Q. Turning to the third page of Plaintiffs' Exhibit 164,
- 8 there we go, one, two -- third paragraph, which is -- reads:
- 9 Backfill of layer houses.
- 10 Do you see that? Third page?
- 11 A. It's hard to read on the screen.
- 12 Q. No, no, for sure. Let me give you --
- MR. BLECHMAN: Your Honor, may I approach?
- 14 THE COURT: Yes, you may.
- 15 BY MR. BLECHMAN:
- 16 Q. Let me give you a hard copy.
- 17 A. Um-hum.
- 18 O. We're having an issue, I think, with the screen. Third
- 19 page. All right. Let me move on and just -- you can follow
- 20 with me.
- On the third page, do you see a reference there to
- 22 backfill of layer houses: Gregory reported that several
- 23 producers have asked for the status of being allowed to
- 24 backfill layer houses. He reported that backfilling was
- 25 allowed of any houses regardless of whether the hatch occurred

- 1 before or after April 1, 2002, so long as the layers per house
- 2 did not exceed the required house average space allowance.
- 3 Do you see that?
- 4 A. I do.
- 5 Q. He asked if anyone wanted to speak to changing this
- 6 policy. There was no recommendation for change.
- 7 Do you see that?
- 8 A. I do.
- 9 Q. Were you aware of this communication by -- excuse me.
- 10 Withdrawn.
- Were you aware of this action and communication by
- 12 the UEP Producer Committee on or about January 21, 2003?
- 13 A. I don't know if I was in attendance. I do not recall
- 14 what -- how the producers were dealing with backfilling. What
- 15 I do recall is when it was brought to the
- 16 committee's attention and we discussed it, we felt it was a
- 17 very negative process.
- 18 O. And I promise you we're going to get there.
- 19 A. Yeah.
- 20 Q. But on January 23rd --
- 21 A. The committee --
- 22 Q. Dr. Armstrong --
- 23 A. -- the Animal Welfare Committee meeting obviously took
- 24 this action.
- 25 Q. Okay. So let me ask you one other question on this

- 1 document. Turn to the first page, please.
- 2 A. Yes.
- 3 Q. You'll notice under -- under Call to Order, the second
- 4 paragraph, it says: Staff and guests.
- 5 Do you see that there?
- 6 A. Yes.
- 7 Q. And do you see in the very last line, first word, it says
- 8 Marcus Rust?
- 9 A. Yes.
- 10 Q. Do you see that? Do you know Marcus Rust as being the
- 11 president of Rose Acre?
- 12 A. I do know that.
- 13 Q. Okay. And this document shows his attendance at this
- 14 meeting, true?
- 15 A. Yes.
- 16 Q. Okay. So let's move on now to May 12, 2003.
- 17 MR. BLECHMAN: If we could please see Plaintiffs'
- 18 Exhibit 186. Excuse me. Actually, Plaintiffs' Exhibit 186 is
- 19 not in evidence, but it is a stipulated as a business record,
- 20 Your Honor.
- 21 May I have a copy for the Court? Oh, it's in
- 22 evidence already. That makes it simpler.
- Thank you, Mr. King.
- Your Honor, I'm informed that Plaintiffs' 186 is
- 25 actually in evidence.

- 1 THE COURT: Okay.
- 2 MR. BLECHMAN: If we might publish that for the
- 3 jury.
- 4 THE COURT: Go ahead.
- 5 MR. BLECHMAN: May I approach the witness?
- 6 THE COURT: Yes.
- 7 BY MR. BLECHMAN:
- 8 Q. This may be easier.
- 9 A. Thank you.
- 10 Q. Yes. So now we're up to May 12 -- excuse me -- yeah,
- 11 May 12, 2003. This is another minute of the meeting, of the
- 12 Producer Committee of the UEP, right?
- 13 A. Yes. And it appears that I was not in attendance at this
- 14 meeting either.
- 15 O. But -- but let's look at who was in attendance.
- Do you see under Members, third line down, it says
- 17 Ky Hendrix of Rose Acre?
- 18 A. Yes.
- 19 Q. And also Gene Gregory under Staff and Guests, do you see
- 20 that?
- 21 A. Yes, I do.
- 22 Q. Okay, and then if you turn, please, to the second page,
- 23 there's a reference to backfilling, isn't there?
- 24 A. There is.
- 25 Q. All right. And -- and on that page, it shows once again

- 1 that the UEP Producer Committee considered backfilling and
- 2 it's allowed, right?
- 3 A. Yes.
- 4 Q. Okay.
- 5 A. That's what the Producer Committee decided.
- 6 Q. Okay. We're going to get to you. I promise. And then
- 7 for context, if we might, Dr. Armstrong, I'm going to show you
- 8 what's been marked as Plaintiffs' Exhibit 195.
- 9 MR. BLECHMAN: May I approach, Your Honor?
- 10 THE COURT: Yes, you may.
- MR. BLECHMAN: Thank you.
- 12 BY MR. BLECHMAN:
- 13 O. Plaintiffs' Exhibit -- Plaintiffs' Exhibit 195, this is a
- 14 United Voices issue from August 27, 2003, and in this issue --
- in this issue, if you turn, please, sir, to page 4, you'll see
- 16 just as a point of context, nothing more, that there's --
- 17 there's -- in the second -- about the first third of the page,
- 18 there's an article about why egg prices are so high.
- 19 Do you see that?
- 20 A. I do.
- 21 Q. Okay. And look at the third paragraph there, which --
- 22 which discusses factors as to why -- likely factors or likely
- 23 major reasons for price levels being as high as they are. The
- 24 third factor there, would you read that to the jury, please?
- 25 A. Implementing space allowance to meet the industry's

- 1 Animal Welfare Guidelines, guidelines that were endorsed by
- 2 the Food Marketing Institute and the National Council of Chain
- 3 Restaurants.
- 4 Q. All right, so egg prices appear to be -- according to
- 5 United Voices -- tracking what's going on in the market, egg
- 6 prices in or about August of 2003 are high, true? That's what
- 7 it's reflecting.
- 8 A. Yes. That's what's stated here, sure, yes.
- 9 Q. Right. And there's -- now let's move to May 20, 2004,
- 10 all right? In May 20, 2004 -- I'm going to show you another
- 11 document that's been previously marked as Plaintiffs'
- 12 Exhibit 232.
- MR. BLECHMAN: Permission to approach?
- 14 THE COURT: Yes, go ahead.
- 15 BY MR. BLECHMAN:
- 16 Q. (Handing.)
- 17 This is another United Voices newsletter, correct,
- 18 sir?
- 19 A. Yes, sir.
- 20 Q. All right, and this May 20, 2004, United Voices
- 21 newsletter, if you look at page 3 -- actually, right, on
- 22 page 3 -- let me get you oriented first.
- 23 Actually let's go to page 2 first, if we might,
- 24 towards the bottom. Do you see the reference to an editorial
- 25 by Gene Gregory?

- 1 A. Yes.
- 2 Q. This is the Gene Gregory you know, correct?
- 3 A. Yes.
- 4 Q. And the title of the article -- excuse me. The title of
- 5 the editorial is: Are You Committed? Correct?
- 6 A. Yes.
- 7 Q. All right, and then if you turn to the next page, sir,
- 8 you'll note the third paragraph, it reads: The industry built
- 9 an egg inventory prior to Easter expecting increasing demand.
- 10 The inventory was far too large, demand was far less than
- 11 expected, and we began panic -- and panic is in bold and
- 12 underlined -- selling to rid ourselves of the inventory. We
- 13 caused the market to free-fall in all regions by as much as
- 14 \$0.60 or more.
- 15 Did I read that correctly?
- 16 A. Yes.
- 17 Q. All right, so according to this editorial in United
- 18 Voices in May of 2004, egg prices have now started going down
- 19 and they -- according to this editorial, they started going
- 20 down quite a bit, true?
- 21 A. Yes.
- 22 Q. All right, so I wrote down, Egg prices are going down.
- 23 And then, Mr. Gregory writes more than just about
- 24 egg prices going down. If you look -- if you'll turn back one
- 25 page again, to the preceding page, page 2, all the way at the

- 1 bottom, starting there with the paragraph that begins, While
- 2 never intended. Tell me when you see that.
- 3 A. I see it.
- 4 Q. All right, and Mr. Gregory writes in this editorial,
- 5 quote: While never intended as a supply adjustment program,
- 6 the Animal Care Certified Program is the only road map the
- 7 industry has ever had for future planning.
- 8 Did I read that correctly?
- 9 A. Yes.
- 10 Q. He goes on to say: That if you -- excuse me. He goes on
- 11 to write: If you stay true to the program and manage it to
- 12 meet the market demand, it can provide the industry with
- 13 prolonged profits. For many people, backfilling to replace
- 14 mortality was a new production practice and may be necessary
- 15 to maximize profits, but it is now time to rethink this
- 16 position. Backfilling into unprofitable periods certainly
- doesn't make good business sense.
- 18 That's what Mr. Gregory wrote in this editorial,
- 19 true?
- 20 A. True.
- 21 Q. Okay. So now, as of May of 2004, I'm going to write down
- 22 here, Gregory editorial, Are You Committed? So what we have
- 23 is January 2003 backfilling is going on, it's been reviewed by
- 24 the Producer Committee, it continues. By August of 2003, egg
- 25 prices have gone up, but about nine months later, egg prices

- 1 are now coming down, true? That's the pattern we see here.
- 2 A. Yes.
- 3 Q. All right, so now let's take a look at what happens --
- 4 actually, let me stop and ask you a question.
- 5 With regard to Mr. Gregory's editorial, Are You
- 6 Committed? And where he's talking about backfilling can --
- 7 can actually lead to excess -- additional supply which means
- 8 prices come down and it's not -- it's not going to help if
- 9 everybody's backfilling, did you have any discussions with
- 10 Mr. Gregory in or about May of 2004 on the subject of
- 11 backfilling, yes or no?
- 12 A. We had discussions with UEP and a lot of producers about
- 13 backfilling from the Scientific Welfare Committee perspective.
- 14 Q. And my question to you, sir, is, in or about May of 2004,
- 15 did you have any discussions, any communications with
- 16 Mr. Gregory on the subject of backfilling?
- 17 A. I don't recall, but -- exactly when backfilling came
- 18 before the committee, but very likely did. I had a lot of
- 19 communications with Gene. So I do not recall when the
- 20 committee first reviewed backfilling as a welfare issue.
- 21 Q. Dr. Armstrong, excuse me --
- 22 A. And so I can't -- I can't tell you whether we talked
- 23 about it in May or not. We probably did.
- 24 Q. So -- so I've heard two answers from you, first, I don't
- 25 recall, and then, we probably did.

- 1 A. I --
- 2 Q. Which -- for purposes of what I'm going to ask you next,
- 3 which answer are you standing by, I don't recall or we
- 4 probably did?
- 5 A. I don't recall when we first started talking about
- 6 backfilling.
- 7 Q. Okay. Would it be accurate to say that you don't recall
- 8 whether in May of 2004 you spoke -- you had any communication
- 9 with Mr. Gregory on the subject of backfilling?
- 10 A. I can tell you if we had discussion on backfilling, it
- 11 had to do with the nature of what's in this letter,
- 12 October 4th, with regard to the animal welfare aspects of
- 13 backfilling. The committee or I did not have discussions
- 14 about supply. I'd like to think I'm a good --
- 15 Q. Dr. Armstrong, please. I'm asking you a pretty narrow
- 16 question, I think.
- 17 A. You are asking me when -- what -- when we talked about
- 18 backfilling and I explained --
- 19 Q. Is it possible --
- 20 A. -- I don't know the exact date and I'm giving you the
- 21 rationale of why I don't know the exact date.
- 22 Q. And I can ask -- thank you for that.
- 23 Is it possible, sir, that in or about May of 2004,
- 24 you, in fact, did have a discussion with Mr. Gregory on the
- 25 subject of backfilling? Is that possible, sir?

- 1 MS. SUMNER: Objection. Asked and answered.
- 2 THE COURT: Overruled.
- 3 MR. BLECHMAN: I'm going to move on. Thank you,
- 4 Your Honor.
- 5 THE COURT: Okay.
- THE WITNESS: Do you want me to answer?
- 7 THE COURT: Well, you may answer.
- 8 THE WITNESS: Yes, as I said, it is possible, and
- 9 any discussions would have been along the lines of animal
- 10 welfare perspective from the committee. I never acted alone.
- 11 BY MR. BLECHMAN:
- 12 Q. All right, so now let's move to August of 2004. In
- 13 August of 2004, Plaintiffs' Exhibit 243 --
- MR. BLECHMAN: If we might have that published,
- 15 please.
- Your Honor, may I approach?
- 17 THE COURT: Yes.
- 18 MR. BLECHMAN: Thank you.
- 19 BY MR. BLECHMAN:
- 20 O. Here.
- 21 A. Yes.
- 22 Q. Once again, I'm showing you a --
- Is that up?
- Once again, I'm showing you a United Voices
- 25 newsletter. This is dated August 12, 2004. That's in

- 1 evidence, Dr. Armstrong. Both to establish context and also
- 2 to ask you some specific questions. Actually -- do you have
- 3 624? No -- I misspoke. I'd actually like to use a different
- 4 exhibit first. So if we might withdraw that.
- 5 And let me ask you a different question.
- 6 Dr. Armstrong, you may -- please just kindly put that to the
- 7 side for a moment.
- 8 A. Yes, sir.
- 9 Q. In August of 2000 -- excuse me, in October -- okay. I
- 10 stand corrected.
- 11 Exhibit 243, I'm good with that. Let's go with it.
- MR. BLECHMAN: So if we can please put that back up.
- 13 BY MR. BLECHMAN:
- 14 Q. Do you have Exhibit 243 in front of you, Dr. Armstrong?
- 15 A. Yes, sir.
- 16 Q. All right, and this is another United Voices newsletter
- dated August 12, 2004, correct?
- 18 A. Yes.
- 19 Q. All right, and directing your attention to the second
- 20 page, please, if we might see that, there is at the top of the
- 21 page another editorial, this time by Al Pope. Do you see
- 22 that?
- 23 A. I do.
- 24 Q. All right, and remind everybody, who's Al Pope?
- 25 A. I think at the time he was the leader of UEP.

- 1 Q. And by "leader," you mean the president?
- 2 A. Yes.
- 3 Q. And what's the title of that article, sir?
- 4 A. Backfilling A Loophole of a Hangman's Noose, editorial
- 5 by Al Pope.
- 6 Q. Al Pope, just to remind everybody, he's the person who
- 7 invited you to participate in the UEP work back initially in
- 8 1997, 1998, correct?
- 9 A. Yes.
- 10 Q. Okay. And take a look at the third paragraph, please, of
- 11 Mr. Pope's editorial. Do you see that?
- 12 A. Yes.
- 13 Q. All right, he writes, a year later, so now let's just set
- 14 context, this is August 12, 2004, he writes, a year later:
- 15 And while the ACC program was never a supply management
- 16 program, the, quote, backfill, unquote, provision, paren, in
- 17 my opinion, close paren, is contributing or even causing some
- 18 of the disorderly marketing and poor egg prices that we are
- 19 currently experiencing.
- 20 Did I read that correctly?
- 21 A. Yes.
- 22 Q. He writes further: We have a shot -- excuse me. Have we
- 23 shot ourselves in the foot with this well-intended provision?
- Do you see that?
- 25 A. Yes.

- 1 Q. And then he concludes this paragraph by writing: It is
- 2 a, quote, noose, unquote, that is strangling -- and that word
- 3 is in quotation marks -- the opportunity of enjoying, once
- 4 again, the favorable prices for our product we expected this
- 5 fall.
- 6 Did I read that correctly?
- 7 A. Yes.
- 8 Q. Did you and Mr. Pope have a conversation -- withdrawn.
- 9 Did you and Mr. Pope have any communication in or
- 10 about August 12 of 2004 on the subject of backfilling
- 11 contributing or causing poor egg prices, yes or no?
- 12 A. I don't recall. I had very few conversations with Al
- 13 Pope.
- 14 Q. Now -- and I promised you we'd get there -- let's talk
- 15 about October 10 -- excuse me, October 4, 2004. That is the
- date of the letter that you wrote to the UEP Producer
- 17 Committee on behalf of yourself and the committee, you
- 18 explained --
- 19 A. Um-hum.
- 20 Q. -- explaining the opposition to backfilling, true?
- 21 A. Yes.
- 22 Q. All right, I want to show you a document that same day,
- 23 dated earlier in the day, that you haven't seen from Defense
- 24 Counsel yet, but that reflects an e-mail that went from Don
- 25 Bell to you. Let me begin by asking, you know who Don Bell

- 1 is, correct?
- 2 A. I do.
- 3 Q. All right, and in October 4, 2004, you were the chair of
- 4 the Science Advisory Committee, correct?
- 5 A. Yes.
- 6 Q. And by this time you also had a consulting arrangement
- 7 with UEP, true?
- 8 A. Yes.
- 9 Q. All right.
- MR. BLECHMAN: Your Honor, may I -- the Defense
- 11 Exhibit 624, I'd like to just show the witness, if I might
- 12 approach.
- 13 THE COURT: Go ahead.
- 14 BY MR. BLECHMAN:
- 15 Q. Okay. Dr. Armstrong, you have in front of you
- 16 Defendants' Exhibit 624, correct?
- 17 A. Yes.
- 18 Q. And this is an e-mail from Don Bell to you and others
- 19 dated October 4, 2004, true?
- 20 A. It's a letter to the committee from me.
- 21 Q. Are you looking at the letter or are you looking at
- 22 Exhibit 624, which is the e-mail I just handed you?
- 23 A. I'm looking at the e-mail.
- 24 Q. Okay. I thought I heard you say it was the letter. You
- 25 may have misspoke.

- 1 A. No, the e-mail -- this is an e-mail that I sent to all
- 2 the members of the committee, and it was a copy -- attached
- 3 copy of the letter, October 4th, and I asked them to review
- 4 the letter.
- 5 Q. Okay. So what I've handed you is just the e-mail right
- 6 now, correct?
- 7 A. That's correct.
- 8 Q. All right, and based on your testimony just now and the
- 9 other questions that you've answered on this document --
- 10 MR. BLECHMAN: Your Honor, Plaintiffs offer
- 11 Defendants' Exhibit 624 in evidence.
- MS. SUMNER: No objection.
- 13 THE COURT: 624 is admitted.
- 14 (Exhibit received in evidence.)
- MR. BLECHMAN: Okay. If we might publish that to
- 16 the jury, Your Honor, please.
- 17 THE COURT: Go ahead.
- 18 MR. BLECHMAN: Thank you.
- 19 BY MR. BLECHMAN:
- 20 Q. Dr. Armstrong, let's -- let's go through this e-mail that
- 21 you wrote just for a moment. This is from you to Don Bell and
- 22 others, true?
- 23 A. Yes. It's a letter to the committee.
- Q. We're talking about the e-mail, sir. The e-mail --
- 25 A. It's an e-mail, yes.

- 1 Q. I promise --
- 2 A. E-mail, I apologize. It's an e-mail to the committee.
- 3 Q. Thank you.
- 4 A. Yes, I understand.
- 5 Q. All right, and you sent the e-mail to, among other
- 6 people, Scotti Hester, correct?
- 7 A. Yes.
- 8 Q. And you've identified who she is yesterday, true?
- 9 A. Yes.
- 10 Q. And you sent this e-mail also to Gene Gregory, correct?
- 11 A. Yes.
- 12 Q. All right. And in the e-mail, you -- the subject is,
- 13 Welfare Producer Committee, October 2004 Letter on
- 14 Backfilling.doc, D-O-C. Correct?
- 15 A. Yes.
- 16 Q. And that's the letter -- the attachment, the letter to
- 17 which you refer, correct?
- 18 A. Yes.
- 19 Q. And in the e-mail, you write to this group: Please
- 20 review the attached letter, Scotti.
- 21 That's Dr. Hester, correct?
- 22 A. Correct.
- 23 Q. Scotti assisted in providing the first draft of a letter
- 24 asking producers to cease the practice of backfilling.
- 25 Did I read that correct?

- 1 A. Correct.
- 2 Q. And then you write: We need to support UEP in their
- 3 quest to terminate this practice.
- 4 Did I read that correctly?
- 5 A. You did.
- 6 Q. And the phrase "this practice" refers to the backfilling,
- 7 correct?
- 8 A. That's correct. For the reasons noted in the letter.
- 9 Q. Thank you. All right.
- And then on October 4, 2004, first there's the
- 11 e-mail about the UEP quest to terminate backfilling, and then
- there's your letter that same day, true?
- 13 A. That's correct.
- 14 Q. All right. And after you sent that letter of October 4,
- 15 2004, to the UEP, the Producer Committee and then the Board of
- 16 Directors met to consider your letter, true?
- 17 A. Yes.
- 18 O. All right. And, in fact, the Producer Committee met on
- 19 December 7 and 8 -- my handwriting's terrible. The Producer
- 20 Committee met that date and considered, among other things,
- 21 the backfilling, correct?
- 22 A. Correct.
- 23 Q. All right. Now, Exhibit 260, Plaintiffs', please.
- MR. BLECHMAN: Your Honor, may I approach?
- THE COURT: Yes, you may.

- 1 MR. BLECHMAN: Thank you.
- 2 BY MR. BLECHMAN:
- 3 Q. Dr. Armstrong, I've handed you what's been marked as
- 4 Plaintiffs' Exhibit 260. This is in evidence, I believe.
- 5 THE COURT: It is.
- 6 MR. BLECHMAN: Thank you. May we publish it?
- 7 THE COURT: Yes.
- 8 MR. BLECHMAN: Thank you.
- 9 BY MR. BLECHMAN:
- 10 Q. All right. Do you see that there?
- 11 A. Yes.
- 12 Q. All right. And if you look, you'll see on the first
- 13 page -- well, first of all, let's set the stage a little bit
- 14 more.
- You attended this meeting, did you not?
- 16 A. Yes.
- 17 Q. Also in attendance at this meeting is Ky Hendrix of Rose
- 18 Acre, true?
- 19 A. True.
- 20 Q. And Gene Gregory, correct?
- 21 A. Yes.
- 22 Q. And other people who were there, true?
- 23 A. Yes.
- 24 Q. All right. And at this meeting, the UEP Producer
- 25 Committee considered and ultimately passed a motion to ban

- backfilling, true?
- 2 A. True.
- 3 Q. Okay. And then on December 16, 2004, Exhibit 261, on
- 4 that day the UEP Board of Directors meets and it bans
- 5 backfilling by motion that is passed, correct?
- 6 A. Correct.
- 7 Q. Okay. So -- and that will be Exhibit 261, please.
- 8 MR. BLECHMAN: And, Your Honor, it's in evidence, if
- 9 I might approach.
- 10 THE COURT: Yes.
- 11 THE WITNESS: Thank you.
- 12 BY MR. BLECHMAN:
- 13 Q. You're welcome.
- 14 So I'm giving you that just so you have context for
- 15 what -- this timeline. Do you got that Dr. Armstrong?
- 16 A. Yes.
- 17 Q. Okay. Now, I want to ask you a couple of questions about
- 18 what the UEP Producer Committee that you attended and the UEP
- 19 Board of Directors meeting, what they did. So now -- and I
- 20 also want to go back to -- to your letter of October 4. So I
- 21 want to do two things and I want to orient you because I'm
- 22 going to go back with some documents. So where we're going to
- 23 go first is your October 4 letter, and I want to take a look
- 24 at the two -- at the November -- excuse me -- September 2000
- 25 Science Advisory Committee recommendations, which is already

- 1 in evidence, Exhibit 52. Okay?
- 2 So -- I think you'll -- it's probably behind like
- 3 Tab 1 or 2 that Defense Counsel gave you yesterday, and I'm
- 4 just going to have a few questions for you, but let me allow
- 5 you to get oriented.
- 6 A. September 2000?
- 7 Q. Yes. Is that the final recommendations?
- 8 A. It's the September 2000, yes.
- 9 Q. And those are the final recommendations, true?
- 10 A. Yeah, the main -- it evolved after that point, but this
- 11 is the -- yes, this is the recommendations, September 2000.
- 12 Q. And those were the final recommendations. I thought
- 13 that's your testimony. I don't think this is in dispute. I'm
- 14 just looking to establish --
- 15 A. My testimony was that the guidelines evolved, but the
- 16 core guidelines, frankly, didn't change over time.
- 17 Q. Okay.
- 18 A. It was more of a phase-in and how they dealt with it. So
- 19 I'm sorry. I'm an academician. The guidelines evolved.
- 20 Q. Okay. But we're in court, so I'm going to try to stick
- 21 with this.
- 22 A. This is the September 2000 guidelines.
- 23 Q. You have Plaintiffs' Exhibit 52?
- 24 A. Yes, sir. Yes, sir. And I'm not trying to be difficult.
- 25 Q. That's okay.

- 1 And you have -- you have your letter of October 4 in
- 2 front of you?
- 3 A. Yes, sir.
- 4 Q. All right. So what I want to do with the next series of
- 5 questions is I want to review the -- the stated information
- 6 that is apparent to all of us that was relied on by the
- 7 Science Advisory Committee in the recommendations on the
- 8 backfilling ban. That's the context. Do you understand?
- 9 A. Yes.
- 10 Q. All right. In your Exhibit 52, turn to page 13 to 16 on
- 11 molting. Let's just take that.
- 12 A. Yes.
- MR. BLECHMAN: All right. And on pages 16, if we
- 14 might put up that page, please. Thank you.
- 15 BY MR. BLECHMAN:
- 16 Q. On page 16, in the last few pages -- the last few
- 17 paragraphs there, do you see a number of citations to
- 18 literature that are cited on that page in support of the
- 19 Science Advisory Committee's recommendation regarding molting?
- 20 Do you see the literature cites?
- 21 A. That's page 16. But there's --
- 22 Q. 13 to 16?
- 23 A. There's literature cited all throughout, yes.
- 24 Q. I'm asking you about molting and page 16. Do you have
- 25 that in front of you?

- 1 A. This is page 16.
- MR. BLECHMAN: May I approach, Your Honor?
- 3 THE COURT: Yes, you may.
- 4 BY MR. BLECHMAN:
- 5 Q. I may be giving you the wrong page. I believe you. Are
- 6 we looking at the right document? Okay. This is slaughter --
- 7 do you know what, we're looking at different pages. Would you
- 8 find -- let me do it this way: Would you find the page in the
- 9 document regarding molting. It's going to be page 14 that has
- 10 that PX at the bottom. Tell me when you're there.
- 11 A. I have it.
- 12 Q. Okay.
- 13 A. Yes.
- 14 Q. The numbering, there's different numbering. So we're
- 15 missing each other. So you have page PX and ends .0014 of
- 16 Exhibit 52 in front of you, yes?
- 17 A. Correct.
- 18 Q. And this deals with molting, correct?
- 19 A. Yes.
- 20 Q. All right. Now, I will tell you, there's a number of
- 21 literature cites there to support what the Science Advisory
- 22 Committee is recommending with regard to molting, true?
- 23 A. Yes.
- 24 Q. All right. By my count, and I may be off by a number or
- 25 two, but I counted 16 different literature cites supporting

- 1 the Science Advisory Committee's recommendation regarding
- 2 molting.
- 3 Does that sound about right to you?
- 4 A. There are probably that many references cited in this
- 5 section, yes.
- 6 Q. Okay. So now let's take a look at Exhibit -- what I'm
- 7 calling D-409, your October 4, 2004, letter to the UEP
- 8 Producer Committee on behalf of the Science Advisory Committee
- 9 recommending a backfilling ban.
- 10 Do you have that in front of you?
- 11 A. Yes, I do.
- 12 Q. Do you see any literature cites in this document?
- 13 A. No.
- 14 Q. Now, let's go to Exhibit 260, which you've seen already.
- 15 That's the Producer Committee meeting of December 7, 2008.
- 16 Tell me when you have that in front of you, sir.
- 17 A. Yes, I have it.
- 18 O. Good. I'll give counsel a chance to catch up. Okay.
- There's something about the backfilling ban that I
- 20 need to -- I want to ask you about. On the very first page at
- 21 the bottom, the backfilling ban motion that passes by the
- 22 Producer Committee, it reads in part, if I might: Backfilling
- 23 is prohibited under the Animal Care Certified Program. An
- 24 exception shall be made for flocks that have experienced
- 25 catastrophic mortality. A catastrophic event is defined as a

- 1 natural disaster, disease problem, or other event beyond the
- 2 control of the producer.
- 3 Did I read that correctly?
- 4 A. You did.
- 5 Q. And you testified on direct examination yesterday about
- 6 the catastrophic event exception to the backfilling ban,
- 7 didn't you?
- 8 A. Yes.
- 9 Q. But what I didn't hear in your testimony yesterday --
- 10 maybe I missed it -- was a reference to -- under these
- 11 circumstances, if you continue looking at Exhibit 260, the
- 12 resolution -- the motion provides: Under these circumstances,
- 13 backfilling of hens up to 90 percent of the original flock
- 14 capacity shall be permitted.
- 15 Did you see that?
- 16 A. I see it in the motion.
- 17 Q. Did I read that correctly?
- 18 A. Yes, you did.
- 19 Q. Is there some 90 percent provision in your October 4,
- 20 2004, letter?
- 21 A. The Scientific Advisory Committee --
- 22 Q. Sir? Sir?
- 23 A. -- did not address a provision of percentage.
- Q. And by "percentage," you mean 90 percent, 80 percent, any
- 25 percentage?

- 1 A. We did not. And, in fact, at the time that they made
- 2 this statement --
- 3 Q. Okay.
- 4 A. -- we had not discussed the catastrophic, and we later
- 5 amended our guidelines --
- 6 Q. Right.
- 7 A. -- to allow this.
- 8 Q. Right.
- 9 A. But they didn't know for sure we would at that time. I
- 10 told them I thought they would.
- 11 Q. Okay. Well, I want to ask you about that now. You
- 12 attended this meeting December 7, 2004, of the Producer
- 13 Committee in Chicago, right?
- 14 A. I did.
- 15 Q. And you were present for the discussion about the
- 16 backfilling ban, true?
- 17 A. Yes.
- 18 O. All right. So let's -- let's talk about what this
- 19 90 percent means. So, in other words -- you tell me if I've
- 20 got this right -- if a producer suffers, unfortunately, a
- 21 catastrophic event where a henhouse is wiped out for some
- 22 reason, then in those circumstances, it's allowed to backfill,
- 23 but it can't put back in the henhouse the same number of birds
- or hens that it had before the catastrophic event, it can only
- 25 put back in the henhouse 90 percent of that number, true?

- 1 A. That's what the producers were recommending.
- 2 Q. So, in other words, if there's a catastrophic event that
- 3 allows for an exception to the backfilling ban, this
- 4 resolution that passes by the Producer Committee and then by
- 5 the board later, actually in connection with the 90 percent
- 6 provision, in and of itself imposes a 10 percent reduction in
- 7 the number of hens that can be put back into the henhouse in
- 8 the event that there's a catastrophic event, true?
- 9 A. Which are very rare.
- 10 Q. That's not what I asked you. Is my -- is what I said
- 11 true?
- 12 A. Yeah, what you said is true.
- 13 Q. Thank you.
- 14 A. And that was the Producer Committee, not the Scientific
- 15 Committee.
- 16 Q. I'm curious, Dr. Armstrong. At this meeting on
- 17 December 7, 2008 -- excuse me -- 2004, of the Producer
- 18 Committee --
- 19 A. Um-hum.
- 20 Q. -- when you heard the Producer Committee talking about
- 21 the fact that they would have an exception to backfilling that
- 22 would provide explicitly for a reduction in supply of
- 23 10 percent of hens, did you get up and walk out of the room?
- 24 Yes or no?
- 25 A. I wished my memory was good enough to tell you what I

- 1 thought about. I remember taking the backfilling to the
- 2 Animal Producer Committee.
- 3 Q. Dr. Armstrong, if you don't have a memory, then with all
- 4 due respect --
- 5 A. I do recall, if I walked -- if I got up and walked out of
- 6 the room, it was to go answer a phone call or do something
- 7 else, because I don't recall this detail being discussed.
- 8 Q. But do you acknowledge --
- 9 A. If I had heard that, and it being a rare event, I don't
- 10 know that it would have even registered. I don't even know
- 11 that I would have paid attention to it.
- 12 Q. Dr. Armstrong, do you acknowledge, sir, that the
- 90 percent catastrophic exception to the backfilling ban, by
- 14 its -- literally by its terms provides for a 10 percent
- 15 reduction in the supply of hens in henhouses if the
- 16 catastrophic event exception is triggered? Do you acknowledge
- 17 that?
- 18 A. I recognize that, and it's such a rare event, I didn't
- 19 really think about it at the time. I didn't worry about it.
- 20 Q. And -- and you base your testimony that it's such a rare
- 21 event based on your many years of experience dealing with
- 22 hens. Is that -- is that your testimony?
- 23 A. Based on the definition of "catastrophic."
- 24 Q. I see.
- 25 A. Catastrophic is rare, just based on my common sense. I

- 1 would just leave it at that.
- 2 Q. I see. All right.
- And just to button this up, on December 16, 2004,
- 4 Exhibit 261, do you have that in front of you?
- 5 A. Um-hum.
- 6 Q. Is that a yes?
- 7 A. That's a yes, I'm sorry.
- 8 Q. Okay.
- 9 A. I was swallowing.
- 10 O. Both of us serve --
- 11 A. I'm on the back end of that cold. So I apologize for my
- 12 scratchy voice.
- 13 Q. Both of us serve at the pleasure of the court reporter,
- 14 so I hear you.
- Exhibit 261, this is the UEP Board of Directors
- meeting of December 16, 2004, correct?
- 17 A. That's correct.
- 18 Q. All right. And at this meeting, you see at the bottom of
- 19 the page that the UEP board passes the backfilling ban with
- 20 this 90 percent catastrophic exception providing for supply
- 21 reduction.
- Do you see that?
- 23 A. Yes, I see that and I was not in attendance.
- 24 Q. Right. And I think you explained that subsequent to the
- UEP board passing the backfilling ban with this 90 percent,

- 1 10 percent supply reduction provision, that then got literally
- 2 baked into and written into the UEP Guidelines thereafter in
- 3 2005, true?
- 4 A. What was put in the scientific guidelines was
- 5 backfilling. I -- I don't know what the producer did. I
- 6 don't recall.
- 7 Q. I didn't think this was actually controverted, but let's
- 8 just establish it. After the board of UEP votes in December
- 9 of 2004 to implement the backfilling ban, that backfilling ban
- 10 then gets included expressly in the UEP Guidelines that are
- 11 issued in 2005; isn't that true?
- 12 A. Yes.
- 13 Q. Okay.
- 14 A. I'm not disputing the Animal Welfare Guidelines. I'm
- 15 being specific about the science-based guidelines.
- 16 Q. I just -- I hear you. And -- and isn't it also true,
- 17 sir, that the 2005 UEP Guidelines include in the backfilling
- 18 ban this 90 percent or 10 percent reduction of supply
- 19 provision with regard to backfilling, true? Yes?
- 20 A. I assume so, yes.
- 21 Q. Okay. Okay.
- 22 A. I don't have it in front of me.
- 23 Q. Well, okay. Let's -- let's not have any doubt about
- 24 this.
- Is this in evidence? Okay.

- 1 MR. BLECHMAN: Your Honor, I -- the Plaintiffs offer
- 2 Defendants' Exhibit 180, which is the 2005 UEP Guidelines.
- 3 This is a business record, stipulated?
- 4 Your Honor, I'm advised the parties have stipulated
- 5 to this being a business record.
- 6 THE COURT: Not only that but it's in evidence.
- 7 MR. BLECHMAN: Thank you, Your Honor. May I
- 8 approach?
- 9 THE COURT: Yes.
- THE WITNESS: Yes, I see it on page 6. On page 7,
- 11 actually.
- 12 BY MR. BLECHMAN:
- 13 Q. And by -- and by "it" --
- 14 A. I see it -- it's the language that they -- the same
- 15 language that was passed at the Board meeting is in the animal
- 16 guidelines.
- 17 Q. In 2005?
- 18 A. Yes, it's right here.
- 19 Q. With the 90 percent and 10 percent supply reduction
- 20 provision included, correct?
- 21 A. The 90 percent is there, yes.
- 22 Q. Right. And meaning that there's a 10 percent reduction
- 23 in supply of the hens that go into the henhouse in the event
- 24 there's a catastrophic event, true?
- 25 A. True.

- 1 Q. Okay.
- 2 MR. BLECHMAN: Your Honor, I'm moving to another
- 3 subject.
- 4 THE COURT: Then we're going to take a break.
- 5 MR. BLECHMAN: Thank you, Your Honor.
- 6 THE COURT: And the break will be about 10,
- 7 12 minutes or thereabouts. Once again, the same rules apply.
- 8 See you in about 10, 12 minutes, everybody.
- 9 THE DEPUTY CLERK: All rise.
- 10 (Jury out.)
- 11 THE COURT: Okay, enjoy the break.
- Does it seem chilly in here to you? Once again,
- 13 it's a gender-based response.
- 14 MS. SUMNER: It's cooler than usual but I wouldn't
- 15 say it's chilly.
- MR. BLECHMAN: For those of us working up here, it
- 17 feels good.
- 18 THE COURT: You're the only ones moving around.
- MR. BLECHMAN: That's why I say that.
- 20 THE COURT: Well, I may fire up my little heater up
- 21 here. Enjoy the break.
- MR. BLECHMAN: Thank you, Your Honor.
- 23 (After recess:)
- 24 THE COURT: Okay, can you bring the jury back?
- 25 (Witness resumes the stand.)

- 1 THE DEPUTY CLERK: All rise.
- 2 (Jury in.)
- 3 THE COURT: Okay, you all may take your seats.
- And, Mr. Blechman, you may resume.
- 5 MR. BLECHMAN: Thank you, Your Honor.
- 6 BY MR. BLECHMAN:
- 7 Q. Dr. Armstrong, new subject, audits.
- 8 You know that audits exist in the UEP Certified
- 9 Program, true?
- 10 A. Yes.
- 11 Q. The Scientific Advisory Committee did not develop the
- 12 audit under the UEP Certified Program, correct?
- 13 A. Yes.
- 14 Q. Audit is a mechanism to ensure compliance with the UEP
- 15 Guidelines, true?
- 16 A. Yes.
- 17 Q. The audit, in fact, enforces the UEP Guidelines, true?
- 18 A. True.
- 19 Q. And the audit is part of the Certified Program, correct?
- 20 A. Yes.
- 21 Q. For a UEP Certified producer to pass an audit, it must
- 22 score a certain number of points, true?
- 23 A. Yes.
- 24 Q. That number of points is 170, correct?
- 25 A. I assume so. I -- I actually do not know.

- 1 Q. Okay. We'll go over that in just a moment.
- 2 You have said several times, but let me just ask you
- 3 the declarative question, the UEP Guidelines in -- withdrawn.
- 4 The UEP Guidelines you've explained are
- 5 science-based, correct?
- 6 A. Yes.
- 7 Q. If you are saying that you have science-based guidelines
- 8 and you're not following science, then that is false, correct?
- 9 A. If you say you have science-based guidelines and you're
- 10 not following them, then that is false. We had a transition
- 11 period which the guidelines were phased in.
- 12 Q. I want to make sure we're communicating. If you're
- 13 saying that you have science-based guidelines and you're not
- 14 following science, then that is false to say that your
- 15 quidelines are science-based, correct?
- 16 A. With the context that science evolves and you -- there
- 17 are some areas where you know more and others you don't. So
- 18 you're asking for a zero or a one or a yes or a no for a gray
- 19 area.
- 20 Q. Well, let me try again. If you're saying that you have
- 21 science-based guidelines and you're not following science,
- 22 then that is false; is that correct?
- 23 A. Yes, you would want to follow science, yes.
- Q. And if you don't follow science, then that is false,
- 25 correct?

- 1 A. If you don't follow the advice of experts, then -- then
- 2 you're not in compliance.
- 3 Q. If you don't follow science -- if you're saying that you
- 4 have science-based guidelines and you're not following
- 5 science, then that is false, correct?
- 6 A. What I'm saying is, we have a group of experts that make
- 7 recommendations --
- 8 Q. I'm listening to you, sir.
- 9 A. -- and based on the scientist's interpretation of the
- 10 science at that time that's how the guidelines were set up.
- 11 Q. Okay.
- MR. BLECHMAN: Your Honor, may I approach?
- 13 THE COURT: Yes.
- MR. BLECHMAN: Counsel, this is the witness's
- deposition testimony, March 13, 2004. Do you have copies for
- 16 counsel?
- 17 THE COURT: Mr. Coyle.
- 18 (Discussion held off the record.)
- 19 BY MR. BLECHMAN:
- 20 Q. All right. Dr. Armstrong, you were deposed previously in
- 21 this matter on March 13, 2004, do you recall?
- 22 A. Yes.
- 23 Q. And when you were deposed, you -- you swore to testify
- 24 truthfully at the time, correct?
- 25 A. Yes.

- 1 Q. And you did so, correct?
- 2 A. Yes.
- 3 Q. All right. Turn, if you would, to page 154. Tell me
- 4 when you're there.
- 5 A. Yes.
- 6 Q. And I'm looking at lines 13 to 18. And I -- I want to
- 7 just make sure we've got this straight, and I'm going to show
- 8 this to you because it's not -- I'm not sure we have. Is it
- 9 correct that you were asked the following question and gave
- 10 the following answer as reflected on page 154, lines 13 to 18
- of your deposition on March 13, 2004. Question --
- 12 THE COURT: Counsel, what was the date of the
- 13 deposition?
- MR. BLECHMAN: March 13, 2014.
- 15 THE COURT: '04 -- '14?
- MR. BLECHMAN: I apologize. I'm -- March 13, 2004.
- 17 BY MR. BLECHMAN:
- 18 O. You were asked the following question and gave the
- 19 following answer. Question: Why was it a huge mistake, in
- 20 your view?
- 21 Answer: Because if you are -- Answer: If you are
- 22 saying that you have science-based guidelines and you're not
- 23 following science, that's false.
- You gave that answer under oath previously, correct?
- 25 A. Um-hum. I answered it clearly as a 01 and that's what I

- 1 said.
- 2 Q. Okay, you can shut the book. Thank you, sir.
- 3 A. Yes.
- 4 MR. BLECHMAN: Exhibit 547.
- 5 BY MR. BLECHMAN:
- 6 Q. Let me show you now an audit form.
- 7 MR. BLECHMAN: Counsel, it's Plaintiffs'
- 8 Exhibit 547, which we've actually blown up.
- 9 May I approach, Your Honor?
- 10 THE COURT: Yes.
- MR. BLECHMAN: Thank you.
- 12 BY MR. BLECHMAN:
- 13 O. Dr. Armstrong, what, actually, I want to do with this
- document is to cover with you what's on the attached pages.
- 15 But let me start by handing you Exhibit -- Plaintiffs'
- 16 Exhibit 547. This is an e-mail from Gene Gregory to Mike
- 17 Bynum and others on animal husbandry audits. And directing
- 18 your attention to pages 2, 3 and 4 inside, do you recognize
- 19 the form inside as being a cage layers audit checklist under
- 20 the UEP Certified Program?
- 21 A. Yes.
- MR. BLECHMAN: Your Honor, Plaintiffs offer
- 23 Exhibit 547 as a UEP business record.
- MR. KING: We would object to at least the cover
- 25 e-mail.

- 1 MR. BLECHMAN: If that will satisfy the counsel,
- 2 then I'm fine to have it removed.
- 3 THE COURT: I've only heard from one.
- 4 MR. BLECHMAN: Sorry. I should turn around.
- 5 MS. SUMNER: There's no objection to the admission
- of the three pages, but I think we agree it's a business
- 7 record.
- 8 THE COURT: Okay, you're not -- you're no longer
- 9 teeing it up as a business record?
- 10 MR. BLECHMAN: Correct, Your Honor.
- 11 THE COURT: Okay, and we are taking off the first
- 12 page?
- MR. BLECHMAN: Correct, Your Honor.
- 14 THE COURT: Okay. So, if you will remark the
- 15 exhibit so it does not include the first page, and it is --
- 16 the newly constituted P-547 is admitted.
- 17 (Exhibit received in evidence.)
- 18 MR. BLECHMAN: Thank you, Your Honor. And on the
- 19 recess we'll remark the document, with the Court's permission.
- THE COURT: Okay.
- MR. BLECHMAN: Thank you.
- 22 BY MR. BLECHMAN:
- 23 Q. Dr. Armstrong, I want to show you now, what we have blown
- 24 up, so it's a little easier -- can you --
- MR. BLECHMAN: You can -- you can publish it for the

- 1 jury, but the jury may find this easier to look at. Thanks
- 2 for your help. Okay. Let me -- if we could just move this a
- 3 tad closer so the witness --
- 4 BY MR. BLECHMAN:
- 5 Q. And, Dr. Armstrong, I'm going to let you decide whether
- 6 you want to look at the blow-up or the document,
- 7 whichever's easier for you, okay?
- 8 All right. So let's go through this audit form, if
- 9 we might, Dr. Armstrong, and first let me begin by directing
- 10 your attention to the second page of the audit form, which
- 11 refers to total points. Do you see that? Actually it's the
- 12 first page where it says: Total points. Do you see, it says
- 13 170 for total points? It's -- it's on the first page, sort of
- on the right-hand side, it's in gray under Scoring System.
- 15 A. Yes.
- 16 Q. Do you see 170 points?
- 17 A. Yes, I see -- I see a total possible of 200 and 170
- 18 points to pass.
- 19 Q. Okay. And so does that help refresh your memory that you
- 20 need 170 points to pass the audit?
- 21 A. Yes.
- 22 Q. Okay.
- 23 A. I did not -- other members of the committee dealt with
- 24 the audit program. I did not get into the details of the
- 25 audit program.

- 1 Q. Okay. Now, with regard to backfilling, with respect to
- 2 the audit, and what the UEP did, we saw UEP board minutes
- 3 earlier. If a producer backfills under the UEP Guidelines
- 4 now, that's an automatic fail under the audit, correct?
- 5 A. Yes.
- 6 Q. Okay. And, in fact, if you'll note under Section 1,
- 7 Housing and Space Allowance, is there evidence prohibited
- 8 backfilling, yes or no?
- 9 If the answer's yes, the producer's done, it fails,
- 10 right?
- 11 A. Yes.
- 12 Q. Okay. Now, and -- and one other thing, just to orient
- 13 everybody. If you go a little bit further down, under Housing
- 14 and Space Allowance, it refers to white layers and brown
- 15 layers, this is the cage space allowance, and the total points
- 16 that is assessed to a producer if they violate the cage space
- 17 allowance is 50 points, right?
- 18 A. Yes.
- 19 Q. And so, by my math -- by my math, 200 minus 50 gives you
- 20 150, right?
- 21 A. Yes.
- 22 Q. 150 is a failure of the UEP audit, right?
- 23 A. Yes.
- 24 Q. Okay. So we know that backfilling --
- 25 A. I'm assuming that it's a zero or 50 that they receive in

- 1 the audit. I don't know if a producer could receive 40 or 30
- 2 or 20. I just -- I'm not up on the details of that.
- 3 Q. What you do know is that backfill -- excuse me, is that
- 4 if you don't follow the cage space allowance, that, like
- 5 backfilling, is an automatic fail under the audit, right?
- 6 A. Correct. Correct. That was deemed very
- 7 important by the committee.
- 8 Q. Okay, and we're going to get to that.
- 9 A. Yes.
- 10 Q. Now, one of the -- one of the criteria on the checklist
- in the backfilling ban, take a look at -- let me do this.
- 12 Take a look at Section 1, Number 10. Section 1, which is
- 13 Housing and Space, Number 10. And would you read that for the
- 14 jury, please?
- 15 A. Are ammonia concentration levels maintained at 25 parts
- 16 per million or less and corrective action taken when level is
- 17 exceeded?
- 18 O. And you've testified about ammonia levels in the
- 19 testimony yesterday, true?
- 20 A. I did, yes.
- 21 Q. And in 2007, 2008 the UEP Guidelines provided that
- 22 ammonia levels had to be less than or equal to 25 parts per
- 23 million, correct?
- 24 A. Yes.
- 25 Q. Okay. All right, well, now let me show you -- I want to

- 1 show you a photo.
- 2 MR. BLECHMAN: If we could please bring up PX-07.
- 3 This is a document that is in evidence already. This is a
- 4 still photo of --
- 5 MR. KING: Objection, Your Honor. 403 and
- 6 foundation.
- 7 THE COURT: Well, what -- let me hear what the
- 8 question is.
- 9 MR. BLECHMAN: Based on this witness's experience
- 10 touring henhouses and his knowledge about the guidelines and
- 11 the application with the audit, does -- would this -- would
- 12 this level of manure in a henhouse generate ammonia levels --
- 13 THE COURT: There's no foundation for him to be able
- 14 to compute ammonia level from a photograph.
- 15 MR. BLECHMAN: Okay. Let me ask, and if not, I'll
- 16 move on.
- 17 MR. KING: Well --
- 18 MR. BLECHMAN: I'm not going to show him the
- 19 photograph, if that's what you're concerned about.
- 20 THE COURT: No, that --
- MR. BLECHMAN: I would -- I would --
- 22 MR. KING: Is that in front of the jury?
- 23 MR. BLECHMAN: Okay, we can take it down then.
- 24 THE COURT: Yes. Take it down. There is no
- 25 foundation at this point, and it seems to me the answer can --

- 1 the -- what the obvious answer is going to be.
- 2 MR. BLECHMAN: I'm going to ask the foundation
- 3 questions.
- And it's off the screen? Okay, that was my intent
- 5 then. All right.
- 6 BY MR. BLECHMAN:
- 7 Q. Based on your experience -- you've toured henhouses,
- 8 right?
- 9 A. Yes.
- 10 Q. You've seen henhouses that have had piles of manure in
- 11 them. And I apologize for asking you these kinds of
- 12 questions, but it's part of the case. Is that true?
- 13 A. Oh, yes, it piles up.
- 14 Q. Okay. And -- and you have -- you have smelled the
- ammonia in a henhouse that is the result of manure that piles
- 16 up, as you say, correct?
- 17 A. Yes.
- 18 O. All right. Based on your actual experience, and any
- 19 other experience that you've had, do you think you'd have the
- 20 ability to look at -- at piles of manure in a henhouse and be
- 21 able to tell us whether you thought, just from a visual
- inspection, whether the ammonia levels generated from these
- 23 manure piles would exceed the 25 parts per million that are in
- the UEP Guidelines?
- 25 A. No.

- 1 Q. Okay. That's fine. Let's stay with this anyway. Let's
- 2 assume for purposes of my question that a producer has ammonia
- 3 levels in its henhouse that exceed 25 parts per million. With
- 4 me?
- 5 A. Yes.
- 6 Q. All right. Under the UEP Guidelines -- excuse me, under
- 7 the UEP audit, that producer would receive a mark off of five
- 8 points, correct?
- 9 A. Yes.
- 10 Q. Okay. Let's now turn to -- oh, and -- and ammonia levels
- 11 above 25 parts per million, is that inhumane treatment of
- 12 hens?
- 13 A. The guidelines state that -- I have to go back and look,
- 14 but consistent, prolonged over that would not be good. But
- 15 the guidelines and the committee addressed ammonia and we
- 16 addressed housing and we addressed it during the transition
- 17 phase. And we addressed --
- 18 Q. Is ammonia --
- 19 A. -- transitional increases in ammonia.
- 20 Q. Just a baseline. If hens are exposed, for sustained
- 21 periods, to ammonia levels above 25 parts per million, is
- 22 that, in your judgment, consistent with the humane treatment
- 23 of hens?
- 24 A. What's sustained? I mean, if it is on and on and on,
- 25 then that could be a problem for the hen.

- 1 Q. Could be -- wait --
- 2 A. What I will tell you is --
- 3 Q. Could be or --
- 4 A. -- I am not in a position to answer that, because I'm not
- 5 an expert on ammonia. That's why we had experts on the
- 6 committee. That -- our experts on the committee were
- 7 comfortable with the audit and how it was being conducted.
- 8 Q. Thank you for that. Could be or would be in your answer?
- 9 A. I gave you my answer.
- 10 Q. I'm going to move on after this.
- 11 A. I gave you my answer, that I would rely on the experts on
- 12 my committee to tell me what was in that category.
- 13 Q. Dr. Armstrong, they are not in this courtroom testifying
- 14 in front of this jury.
- 15 A. I'm representing them and you've established --
- 16 Q. Sir --
- 17 A. -- that I'm not an expert in poultry, so...
- 18 Q. Sir, I'm asking you -- all I can do is ask you what you
- 19 know and what you think.
- 20 A. And I told you -- and I told you, with all due respect --
- 21 Q. Let me move on.
- 22 A. -- I would rely on the committee experts to help me
- 23 understand that.
- 24 Q. Thank you. Dr. Armstrong, let me move to the next
- 25 subject matter.

- 1 A. Yes.
- 2 Q. Pullets and layers handled in a manner to avoid bone
- 3 breakage or injury. That is -- well, actually, sorry. I got
- 4 ahead of myself. Section 1, Number 13: Dead or injured
- 5 layers removed from cages daily. Dead -- are dead or injured
- 6 layers removed from cages daily?
- 7 Do you see that there?
- 8 A. I see that, yes.
- 9 Q. All right. And that's another five-pointer, right?
- 10 A. Yes.
- 11 Q. All right. So if a UEP producer has dead or injured
- 12 layers that have not been removed from cages daily, they're
- 13 assessed five points, correct?
- 14 A. Correct.
- 15 Q. And would you recognize, sir, based on your experience
- 16 touring henhouses and otherwise, the condition of a hen, a
- dead hen in a cage that had not been taken out daily?
- 18 A. Would I be able to recognize that?
- 19 Q. Yes.
- 20 A. Yes.
- 21 Q. Okay.
- 22 A. It's very obvious.
- 23 Q. Okay. So let's take a look then, with that foundation,
- 24 at Plaintiffs' Exhibit 52.
- 25 THE COURT: Before you put it up --

- 1 MR. BLECHMAN: Yes.
- 2 THE COURT: -- I want to know what the question is.
- 3 MR. BLECHMAN: Looking at that photo and based on
- 4 the experience that he's explained, would that be a -- would
- 5 that violate the provision in the checklist regarding dead or
- 6 injured layers removed from cages daily.
- 7 MR. KING: Objection. 403. Also relevance. And
- 8 foundation.
- 9 THE COURT: Well, the issue -- I think the issue is
- 10 whether or not the witness had any responsibility either for
- 11 conducting an audit or evaluating the audit form and then how
- 12 one divines how long something has been in a place from a
- 13 photo is a question.
- 14 MR. BLECHMAN: I'm going to move on and --
- 15 THE COURT: Okay.
- MR. BLECHMAN: -- to do what I need to do, we don't
- 17 need to look at the photo.
- 18 BY MR. BLECHMAN:
- 19 Q. All right. So if a UEP Certified producer has not
- 20 removed dead or injured layers from cages daily, that would
- 21 cost it five points in the audit; is that right?
- 22 A. Yes. In that snapshot of the audit, they would lose the
- 23 points, my understanding, but I did not conduct the audits.
- Q. I understand. Now let's look at Section 4, which is on
- 25 the next page, Section 4, Number 3. So Section 4 has to do

- 1 with handling and transportation.
- Do you see that? Let me wait until you get there.
- 3 Tell me when you're there.
- 4 A. Yes.
- 5 Q. And Number 3 reads: Were pullets and layers handled in a
- 6 manner to avoid bone breakage or injury?
- 7 Do you see that?
- 8 A. Yes.
- 9 Q. All right. And if -- if a producer handled pullets --
- 10 what are pullets? Those are like baby hens that haven't
- 11 become --
- 12 A. Juvenile hens.
- 13 Q. Juvenile hens, okay.
- 14 A. In between a chick and a hen.
- 15 Q. All right. So if a producer handled juvenile hens or
- 16 layers in a manner that broke bones or injured the juvenile
- 17 hen or the layer, that would be a violation of this provision
- 18 in the audit, correct?
- 19 A. Correct.
- 20 Q. And for that they would be scored against -- they would
- 21 be deducted three points, right?
- 22 A. Yes.
- 23 Q. Okay. So let's see if I can get this math right. Stay
- 24 with me. We're going to -- we're going to do a little bit
- 25 higher math after I get past this. So right now, assume that

- 1 we've got -- somebody starts with 200 points and they lose
- 2 five for the ammonia, they lose five for failing to remove
- 3 dead or injured hens daily, and they lose three for breaking
- 4 bones or injuring juvenile hens or layers.
- 5 They would receive a score of 187 or they would
- 6 receive 187 points in the audit, correct?
- 7 A. Yes.
- 8 Q. And that would be a pass under the audit, right?
- 9 A. Yes.
- 10 Q. Sir, isn't it true that you were concerned that producers
- 11 would disregard recommendations -- let me stand over here.
- Isn't it true that you were concerned that producers
- would disregard recommendations from the Science Advisory
- 14 Committee by giving up points?
- 15 Let me ask again. Isn't it true that you were
- 16 concerned about producers disregarding recommendations by the
- 17 Science Advisory Committee by giving up points in the audit?
- 18 A. I'm sure the committee -- well, let me just back up.
- 19 With the audits in general, we had several of our committee
- 20 members who were the animal welfare experts that worked with
- 21 the audits. They were involved with the audits. We only got
- 22 involved with the big picture. The items that were pass/fail
- 23 were very -- you know, yes or no, very negative toward animal
- 24 welfare.
- 25 Q. So my question to you now is: Isn't it true that you

- 1 were concerned that producers would disregard recommendations
- 2 by giving up points in the audit? Isn't that true?
- 3 A. I am sure we probably -- I am sure we had those
- 4 discussions because this was a number of years and it ebbed
- 5 and flowed, but overall, the committee members, the experts
- 6 were very comfortable of the UEP audit. When we had
- 7 problems --
- 8 Q. Dr. Armstrong --
- 9 A. -- with implementing the guidelines, which is pertaining
- 10 to your audit question, then we would go back and tell the
- 11 producers --
- 12 Q. Right.
- 13 A. -- big-picture issues.
- 14 Q. The fact that I put a word in my question, please, I'm
- 15 not intending for you to then go into the area. Let's stay
- 16 with my question.
- 17 Isn't it true, sir, that you yourself were concerned
- 18 that producers would disregard recommendations by giving up
- 19 points in the audit, yes or no?
- 20 A. I'm sure I -- I -- you know, I actually don't recall.
- 21 Q. Okay. Well, then, let me see if I can help you.
- 22 Plaintiffs' Exhibit --
- 23 A. Like I said, it's a long -- it's a long --
- 24 Q. There's no question pending, sir.
- 25 A. Yes.

- 1 Q. Exhibit 223.
- MR. BLECHMAN: Your Honor, may I approach?
- 3 THE COURT: Yes.
- 4 BY MR. BLECHMAN:
- 5 Q. Dr. Armstrong, directing your attention to Plaintiffs'
- 6 Exhibit 223, this is a document that contains two e-mails.
- 7 And directing your attention to the second page and the third
- 8 page, this is an e-mail from you to Dr. Hester dated April 23,
- 9 2004.
- 10 Do you see that?
- 11 A. Yes. Yes.
- 12 Q. Did you, in fact, write this e-mail?
- 13 A. Yes.
- 14 Q. And did you, in fact, send it to Dr. Hester on or
- 15 about -- excuse me -- on April 23, 2004?
- 16 A. On the front page?
- 17 Q. Well, the second -- the second page e-mail.
- 18 April 23, 2004, do you see that?
- 19 A. Yes. This was a -- yeah, this was back and forth, I
- 20 think, with the whole committee.
- 21 Q. Okay. So -- so you -- by "committee," you mean the
- 22 Science Advisory Committee, correct?
- 23 A. As best I can gather.
- Q. Okay. And when you wrote your e-mail to Dr. Hester, both
- 25 the first e-mail and the second e-mail, for that matter, you

- 1 were the chairperson of the Science Advisory Committee,
- 2 correct?
- 3 A. Yes.
- 4 Q. And you were writing these e-mails in connection with the
- 5 business of the Science Advisory Committee, correct?
- 6 A. Yes.
- 7 Q. And you were writing these e-mails in discharging your
- 8 responsibilities as chairperson of the Science Advisory
- 9 Committee at the time, correct?
- 10 A. Yes.
- MR. BLECHMAN: All right. Your Honor, Plaintiffs
- 12 offer Plaintiffs' Exhibit 223 in evidence.
- THE COURT: Which part of 223?
- MR. BLECHMAN: Well, actually, based on what the
- 15 witness said, we'll offer both.
- 16 THE COURT: All right. Any objection?
- 17 MS. SUMNER: No objection, Your Honor.
- 18 THE COURT: Then Plaintiffs' 223 is admitted.
- 19 (Exhibit received in evidence.)
- MR. BLECHMAN: Thank you, Your Honor. May we
- 21 publish to the jury?
- THE COURT: Yes.
- MR. BLECHMAN: All right.
- 24 BY MR. BLECHMAN:
- 25 Q. Okay. Dr. Armstrong, first, let's orient you and the

- 1 jury. On page 2 is the beginning of your e-mail to
- 2 Dr. Hester, 10:20 a.m. on April 23, 2004.
- 3 Do you see that?
- 4 A. Yes, I do.
- 5 Q. Okay. And then directing your attention to page 3,
- 6 please. That's the only page we need up right this moment.
- 7 A. Yes.
- 8 Q. All right. And you are writing to Dr. Hester and you're
- 9 writing to her -- if you look at the lower -- sort of in the
- 10 middle of the page, you have text to her about the hen cage
- 11 configuration, correct?
- 12 A. Yes.
- 13 Q. All right. And you write to her: In regard to cage
- 14 configuration, the committee believes it is critically
- important to protect hens in lower cages from manure dropping
- 16 from upper cages. We set no exact measurements of cages and
- 17 did not recommend any cage design. It is up to the cage
- 18 manufacturers to design a cage that avoids birds in lower
- 19 cages being dirty from droppings of hens in upper cages.
- 20 And here's what I want to direct your attention to,
- 21 but I want to give the full paragraph.
- You then go on to write: The key point here is that
- 23 we must protect the hens. If this can be done with some
- 24 exposed area, then fine, so long as the birds are protected.
- 25 And then here's where I want to direct your

- 1 guestion, the key point in terms of this document and e-mail.
- 2 We believe it would be a serious mistake for
- 3 producers to simply disregard this recommendation by saying
- 4 they will give up the points in the audit.
- 5 And then you go on to express at the last paragraph:
- 6 I will stress that we view, quote, losing points, unquote, as
- 7 unacceptable.
- 8 You wrote those words to Dr. Hester, correct?
- 9 A. Actually, I think I wrote those words to the entire
- 10 committee.
- 11 Q. Okay. And you believed those words at the time, did you
- 12 not?
- 13 A. Yes. Yes.
- 14 Q. Okay. Now --
- 15 A. May I comment further?
- 16 Q. There's no question, but I'll get to you. Give me a
- 17 moment. You criticized the UEP -- withdrawn.
- 18 You criticized the audit and the UEP Certified
- 19 Program to Gene Gregory in 2003, didn't you?
- 20 A. I assume so.
- 21 Q. You realized that the audit was not requiring producers
- 22 to treat hens humanely, true?
- 23 A. I -- you're asking me about something in 2003 and you're
- 24 asking me to be specific. It was very helpful to have this to
- 25 refresh my memory.

- 1 Q. Okay. All right. Well, then, let's see if I can't help
- 2 you some more.
- 3 Do you have a memory of Gene Gregory responding to
- 4 you that you should focus on science and not on how or when
- 5 the UEP implements audits in the Certified Program?
- 6 Do you recall that?
- 7 A. That's very likely, because Gene and I had a lot of back
- 8 and forth and it was a long going -- ongoing story. And in
- 9 the case of manure, for example, they developed manure
- 10 shields.
- 11 Q. Okay.
- 12 A. So we had ups and downs, but the overall story is very
- 13 clear.
- 14 Q. Okay. Well, my question to you is pretty specific. With
- 15 regard to you criticizing the audit, Mr. Gregory responded to
- 16 you that you should focus on science and not on how or when
- 17 the UEP implements audits in the Certified Program, true?
- 18 A. Oh, that's -- I'm sure that's exactly something Gene
- 19 would say.
- 20 Q. Okay.
- 21 A. Yes.
- MR. BLECHMAN: May I approach, Your Honor?
- THE COURT: Yes.
- 24 BY MR. BLECHMAN:
- 25 Q. I'm handing the witness Exhibit 181.

- 1 Dr. Armstrong, I've handed you what has been marked
- 2 as Plaintiffs' Exhibit 181. This is a document, a letter from
- 3 Gene Gregory to you dated March 13, 2003.
- 4 Do you see that?
- 5 A. I do.
- 6 Q. It is addressed to you, is it not?
- 7 A. It is.
- 8 Q. And the address there is College of Agriculture and
- 9 Natural Resources, 102 Agriculture Hall, Michigan State
- 10 University, East Lansing, Michigan 48824-1039.
- Is that accurate?
- 12 A. Yes.
- 13 Q. That was your address at the time, correct?
- 14 A. Yes.
- 15 O. And on March 13, 2003, were you the chairperson of the
- 16 Science Advisory Committee?
- 17 A. Yes.
- 18 Q. And was Gene Gregory an executive at the UEP?
- 19 A. Yes.
- 20 Q. All right. And you recall this -- you recall this
- 21 letter, do you not?
- 22 A. I do now.
- MR. BLECHMAN: Okay. Your Honor, we offer --
- 24 Plaintiffs offer Exhibit 181 in evidence.
- THE COURT: Any objection?

- 1 MS. SUMNER: No objection.
- THE COURT: 181 is admitted.
- 3 (Exhibit received in evidence.)
- 4 MR. BLECHMAN: May we publish, Your Honor?
- 5 THE COURT: Yes.
- 6 BY MR. BLECHMAN:
- 7 Q. Okay. Directing your attention, Dr. Armstrong, to the
- 8 fifth paragraph down, tell me when you're there.
- 9 A. I am.
- 10 Q. Gene Gregory writes to you in response to your criticism
- 11 about the audit: We did not envision the committee doing a
- 12 critique and making suggested changes to the audit procedures.
- He wrote that to you, correct?
- 14 A. He did.
- 15 O. We believe -- he writes further -- these are decisions to
- 16 be made by USDA, ARPAS, and UEP. The committee should have
- 17 been more respectful.
- 18 He wrote those words to you, right?
- 19 A. Yes.
- 20 Q. And the reference to "committee" in that last sentence,
- 21 that refers to the Science Advisory Committee?
- 22 A. Yes.
- 23 Q. All right. And then he writes further to you: The
- 24 committee should focus upon the science and not how or when
- 25 the industry implements or audits the program. Remember, this

- 1 audit program is a function to assure UEP that producers are
- 2 fulfilling their commitment to the Animal Care Certified
- 3 Program and our customers.
- 4 Did I read that correctly?
- 5 A. Yes.
- 6 Q. Is the reference to "committee" in that paragraph that I
- 7 just read the Science Advisory Committee?
- 8 A. Yes.
- 9 Q. Thank you, sir.
- 10 All right, so now, we're going to move to the higher
- 11 math I promised you we would do. Under the UEP audit for the
- 12 Certified Program that we looked at, cage space is 50 points.
- 13 You validated that earlier, correct?
- 14 A. Yes.
- 15 Q. All right. Backfilling is an automatic fail. You said
- 16 that earlier, right?
- 17 A. Yes.
- 18 Q. All right, and since you need 170 points to pass, then
- 19 let's just assume conservatively that if one -- if a producer
- 20 backfilled then they would be assessed at least 31 points,
- 21 which would take you below the 170 mark, correct?
- 22 A. Yes.
- 23 Q. Okay. So, again, being conservative, since it's an
- 24 automatic fail anyway, let's call backfilling 31 points. With
- 25 me?

- 1 A. Yes.
- 2 Q. Okay. Now, ammonia, that's five points, correct?
- 3 A. Yes.
- 4 Q. All right. And dead or injured hens, not removed daily,
- 5 that's five points, correct?
- 6 A. Yes.
- 7 Q. Broken bones or other injuries of juvenile or layers,
- 8 that's three points, right?
- 9 A. Yes.
- 10 Q. So I'd like you to validate my math then. I'm looking at
- 11 the relative relationship in points in the checklist between
- 12 cage space or backfilling, let's start there, and ammonia or
- 13 dead hens. I go 50, divided by five, and I get ten. So, in
- 14 other words, in the audit, the audit weights cage space at ten
- 15 times the value of ammonia in the henhouse or dead hens or
- 16 injured hens not removed daily. True? That's what the math
- 17 shows, correct?
- 18 A. Math shows and the committee was overall very comfortable
- 19 with the audit. I mean, your math --
- 20 Q. Dr. Armstrong?
- 21 A. -- your math and this document, we should point out, is
- 22 during a transition phase.
- 23 Q. Dr. Armstrong?
- 24 A. Ammonia is very variable.
- 25 Q. Dr. Armstrong?

- 1 A. Yes.
- 2 Q. I appreciate you volunteering that, but I want to just
- 3 stay with the math here if we could for a moment.
- 4 A. Yeah, I won't dispute your math.
- 5 Q. Thank you.
- 6 A. You're good on your math.
- 7 Q. And then for broken bones, broken bones or injured hens,
- 8 juvenile or mature layers, there's -- that's three points,
- 9 right? Yes?
- 10 A. Yes.
- 11 Q. Okay. And so now I want to compare the relative values
- in the audit of cage space allowance with handling of juvenile
- 13 hens or layers in which bones are broken or they're injured,
- 14 which is three. And by my math, I get 16.67 times the value
- of cage space. Is my math correct?
- 16 THE WITNESS: Your Honor, it's very difficult for me
- 17 to talk about a audit and not talk about the underlying
- 18 science welfare guidelines behind it.
- 19 THE COURT: Okay.
- 20 THE WITNESS: And I can do all the math and I can
- 21 agree yes or no, Your Honor, but I also don't want to give
- 22 lengthy answers.
- THE COURT: Okay. Well, I'll tell you what will
- 24 happen is we all just live with the answers overall.
- 25 Mr. Blechman is asking you cross-examination and Ms. Sumner or

- 1 whatever can come back --
- THE WITNESS: Thank you, Your Honor.
- 3 THE COURT: -- for the time for explaining, if she
- 4 wishes, okay?
- 5 THE WITNESS: Thank you.
- 6 THE COURT: All right, no problem.
- 7 BY MR. BLECHMAN:
- 8 Q. Is my math correct, 16.67 -- excuse me. Is my math
- 9 correct that broken bones for juvenile or layers is --
- 10 compared to cage space, cage space is 16.67 times more points
- 11 than for the points that are assessed if there are juvenile
- 12 layers or layers who sustained broken bones or injured in
- 13 handling, true?
- 14 A. Yes. Based on your math of the audit.
- 15 O. Okay. Now, we're good. We're good.
- 16 Cage space in the audit, that measures whether there
- 17 are too many hens in a cage, right?
- 18 A. Yes.
- 19 Q. So it's a measure of the supply of hens in the cage,
- 20 true?
- 21 A. Number of hens per area of the cage.
- 22 Q. Supply of hens per area in the cage, true?
- 23 A. Number of hens in the area of the cage is the way I think
- 24 about it.
- 25 Q. Is what I'm saying and you saying the same thing?

- 1 A. Yes, you can use different words.
- 2 Q. Okay. Which mean the same thing? Yes?
- 3 A. Yes.
- 4 Q. Okay. That's cool. And backfilling, backfilling is --
- 5 that measure is checking on the number of hens in cages. In
- 6 other words, whether a producer is, as you testified earlier
- 7 from your definition of backfilling, adding hens to cages if
- 8 there are -- to replace dead or sick hens, true?
- 9 A. True.
- 10 Q. Okay.
- 11 A. And that's what causes an animal welfare problem --
- 12 Q. Thank you.
- 13 A. -- of significance.
- 14 Q. Thank you. And backfilling, like cage space, backfilling
- is measuring the number of hens in cages or -- excuse me.
- 16 Backfilling is also measuring -- excuse me, withdrawn.
- 17 Backfilling is also assessing the number of hens in
- 18 cages, true?
- 19 A. Yes, it is involving --
- 20 Q. All right.
- 21 A. -- the changing the number of birds in a cage, yes.
- 22 Q. And so, in other words, backfilling is addressing the
- 23 supply of hens in a cage, true?
- 24 A. That's not language we would ever use. We would look at
- 25 the number. And if you wanted -- if you want to use supply,

- 1 I -- yes.
- 2 Q. Thank you. Now, ammonia in a -- in a henhouse, ammonia
- 3 is dealing with the air quality in the henhouse, correct?
- 4 A. Yes, it's dealing with air quality in a henhouse and it's
- 5 highly correlated with the style of the building.
- 6 Q. I got it.
- 7 A. Which can't be changed overnight.
- 8 Q. But -- but ammonia is -- excuse me.
- 9 The criteria of ammonia is addressing the health of
- 10 the hen based on whether there's too high an ammonia level,
- 11 true?
- 12 A. Yes.
- 13 Q. And whether a producer removes dead or injured hens from
- 14 a cage daily is also addressing the health of the hens in the
- 15 cage, true?
- 16 A. True.
- 17 Q. And whether -- this is self-evident, I would imagine, but
- 18 let's be clear, whether a producer in its handling of juvenile
- 19 hens or layers causes them to sustain broken bones or injury,
- 20 that, too, is assessing the health of the hens there, correct?
- 21 A. Correct.
- 22 Q. So with that in mind, then, what I'm wondering is
- 23 whether, in your opinion, based on your testimony, it would be
- 24 more accurate to -- withdrawn.
- With that in mind, and mindful of the math that you

- 1 validated that we went through earlier, whether you think,
- 2 sir, it would be more accurate to title this checklist, which
- 3 is in evidence, Cage Layers Supply Audit Checklist. I'm
- 4 curious if you agree with that?
- 5 A. I do not agree, and that's not what the committee --
- 6 that's not how the committee viewed it.
- 7 Q. Okay.
- 8 A. But, again, I wasn't involved with the audits.
- 9 Q. I believe I'm going to move on to another subject now,
- 10 Dr. Armstrong. Bear with me just a moment. We're going to
- 11 stay with math just a little bit more.
- House averaging, that's a concept you're familiar
- 13 with, right?
- 14 A. Yes.
- 15 Q. All right. Describe for the jury house averaging,
- 16 please.
- 17 A. The best I understand it, so, for example, if you had, as
- 18 we discussed, hens in 2003, they would be at 59 square inches.
- 19 It's hard -- and again, with the configuration of the cages,
- you can't get every cage 59. Some may be 59, some may be 62,
- 21 some may be other. But you're talking about putting those
- 22 birds in at the beginning and they're used to each other, and
- 23 it's, again, during the house averaging was during the
- 24 transition. Changing the space for the bird is very
- 25 significant because that, again, has that impact on mortality,

- 1 and how much -- how many eggs --
- 2 Q. Dr. Armstrong?
- 3 A. I'm answering your question. And so house averaging was
- 4 done in a transitional period and the committee accepted that
- 5 during the transition.
- 6 Q. House averaging, tell me if I've got the basic concept
- 7 correct. You could have a thousand cages in a henhouse.
- 8 That's not an unusual number, would it be?
- 9 A. No. That's not unusual.
- 10 Q. Okay. You could have a thousand cages in the
- 11 henhouse, and under the guidelines that certified producer has
- 12 to keep all thousand of those hens in cages so that each hen
- 13 has 67 square inches, right?
- 14 A. Yes.
- 15 O. Okay. Now, let's -- let's assume -- well, let's assume
- 16 that 5 percent of the cages in this thousand cage henhouse,
- 17 let's assume 5 percent of them break, require maintenance,
- 18 have to be cleaned out, for whatever the reason, basically are
- 19 out of service because of their age or their condition and so
- 20 forth. That happens, true?
- 21 A. I suppose.
- 22 Q. Sure. And so in that situation, where you've got, in
- 23 this example, a thousand hens in -- or however many cages
- there are to do the math for 67 inches a piece and 5 percent
- of those cages aren't able to house the hens, the hens that

- 1 are in those 5 percent of the cages are taken out and put in
- 2 to other cages in the henhouse. With me? With me so far?
- 3 A. I am not -- we didn't get to that level of detail in the
- 4 committee in discussing that.
- 5 Q. This is --
- 6 A. So it's theoretically, hypothetically possible.
- 7 Q. Okay, I was hoping this might be straightforward math,
- 8 but maybe not. So you've got a thousand hens, they're all in
- 9 cages with 67 inches in a henhouse, and the house averaging
- 10 says, does it not, that the average space per hen in the
- 11 henhouse with all the cages involved have to be 67 inches,
- 12 right?
- 13 A. Yes, quite frankly, on house averaging, I do not know the
- 14 details of house averaging. I know the committee accepted it.
- 15 And I am not an expert in housing birds and when they do it,
- 16 the time they do it and I just don't feel comfortable
- 17 answering that.
- 18 Q. Okay, well, stay with me and --
- 19 A. The committee was comfortable with house averaging during
- 20 the transition.
- 21 Q. Stay with me just a minute or two more. I don't think
- 22 this is complicated, but you'll tell me. So if all the cages,
- 23 including the 5 percent that are taken out of commission
- 24 because they require maintenance or cleaning out, if those
- 25 stay in the henhouse but the birds that were in those 5

- 1 percent of the cages are then put into other cages inside the
- 2 henhouse, then the house average for all the cages in the
- 3 henhouse still would be 67 square inches, true?
- 4 A. I honestly don't know. And the other question is, a
- 5 producer may choose to euthanize those hens. So you're asking
- 6 me a hypothetical question and you've established that I've
- 7 only toured laying egg henhouses. I really can't answer it.
- 8 Q. Okay, let me try it this way and then I'll move on.
- 9 Under the house averaging rule, a producer can keep more hens
- 10 in cages that have less than 67 square inches as long as the
- 11 average for per hen in the entire henhouse is 67 square
- 12 inches; isn't that true?
- 13 A. So my understanding of house averaging is that we had
- 14 experts on the committee that worked with us during the
- 15 transition, they paid attention to the audit. I really don't
- 16 know a lot about house averaging other than I know the
- 17 committee was comfortable with it because you couldn't change
- 18 the space overnight. You have to transition.
- 19 Q. Okay. Okay.
- 20 A. So I apologize, I just don't have that detail and I don't
- 21 feel comfortable. I don't know whether the producer would
- 22 euthanize the birds, what they would do. I didn't conduct the
- 23 audits. I'm sorry.
- 24 Q. Okay, I told you I'd move on. New subject. Sparboe.
- 25 Let me get you -- take a drink.

- 1 You testified yesterday about Sparboe, do you
- 2 recall?
- 3 A. Yes.
- 4 Q. All right. In August of 2008 -- August of 2008, you
- 5 were -- withdrawn.
- In August of 2008, you paid a visit to Walmart, did
- 7 you not?
- 8 A. Apparently I visited Walmart --
- 9 Q. Right.
- 10 A. -- from time to time.
- 11 Q. From time to time.
- 12 And do you recall visiting Walmart in or about
- 13 August of 2008?
- 14 A. I probably did.
- 15 O. Okay. And when you visited Walmart in August of 2008,
- 16 you were at Michigan State University, true?
- 17 A. Yes.
- 18 O. You were also, at that time, chair of the Science
- 19 Advisory Committee, right?
- 20 A. Yes.
- 21 Q. All right. And you went to visit Walmart, and you went
- there to talk to them about Sparboe; isn't that true?
- 23 A. I recall visiting Walmart to talk about a lot of
- 24 different things. We, in fact, had an alumnus there. So if
- 25 you can refresh my memory, I'm happy to --

- 1 Q. Sure.
- 2 A. -- to look at it.
- 3 Q. Okay. Well, let's start by taking a look at your
- 4 deposition from March 13, 2014. That's in that big book you
- 5 have in front of you. Tell me when you have it in front of
- 6 you. And for reference, I'm going to move to page 316,
- 7 lines 9 to 17. Tell me when you have it.
- 8 A. I see it.
- 9 Q. All right. And this was -- again, for reference, this
- 10 was a deposition that you gave in these proceedings March 13,
- 11 2014, correct?
- 12 A. Um-hum.
- 13 Q. Is that a yes?
- 14 A. Yes.
- 15 Q. And when you testified, you did so truthfully at the
- 16 time, true?
- 17 A. I did.
- 18 O. All right. And you gave the following answer to the
- 19 following question on that day, lines 9 through 17:
- 20 Question --
- MR. KING: Your Honor, objection. I don't think
- 22 this is proper impeachment.
- MR. BLECHMAN: All right. Let me try it a different
- 24 way.
- THE COURT: Go ahead.

- 1 BY MR. BLECHMAN:
- 2 Q. Dr. Armstrong, I'd like you to look at your answer at
- 3 line 9 through 17 and tell us whether that refreshes your
- 4 memory about visiting Walmart in or about August of 2014.
- 5 A. I think my memory was better in '14 than it is today.
- 6 Q. Okay. But for the clarity of the record, is your memory
- 7 now refreshed that in or about August of 2008, you paid a
- 8 visit on Walmart?
- 9 A. I did.
- 10 Q. Okay. Now, did Gene Gregory at UEP ask you to go visit
- 11 Sparboe?
- 12 A. I don't recall. I visited a lot of different companies,
- 13 talking about the holistic approach to social responsibility
- 14 in the food chain.
- 15 Q. Right.
- 16 A. I went there wearing multiple hats.
- 17 Q. Okay. So the answer is you don't recall whether Gene
- 18 Gregory asked you to go down there, correct?
- 19 A. He may have. I don't recall.
- 20 Q. Okay.
- 21 A. I really do not recall.
- 22 Q. And you went down there to talk -- excuse me. Withdrawn.
- In August of 2008, you went to Walmart to talk to
- them about their commercial relationship with Sparboe, true?
- 25 A. I don't recall what I talked about, but I likely talked

- 1 about UEP and all the things that were going on at that time.
- 2 Q. In the context of Walmart having a commercial
- 3 relationship with Sparboe, true?
- 4 A. I do not recall.
- 5 Q. Do you deny that in August of 2008, sir, you went to
- 6 Sparboe and talked to them about its -- excuse me. You went
- 7 to Walmart and talked to people at Walmart about its
- 8 commercial relationship with Sparboe?
- 9 A. I -- I don't recall what I talked about that day. I
- 10 don't.
- 11 Q. Okay. Do you have a memory of ever visiting Walmart and
- 12 discussing with Walmart its commercial relationship with
- 13 Sparboe? Do you have any memory of that whatsoever?
- 14 A. I would have talked about -- I do not have a memory of
- 15 talking about Sparboe specifically. I do not recall. I don't
- 16 recall the conversation. In 2008 I was -- I was giving a lot
- 17 of presentations, I was talking to a lot of companies, and I
- 18 would have been talking about whatever were the hot topics in
- 19 the industry at that time.
- 20 Q. Did someone from Walmart invite you to visit with them in
- 21 August of 2008? You're not saying that, are you?
- 22 A. I do not recall.
- 23 Q. Okay.
- 24 A. Like I said, I recall that there was a Cal Poly graduate
- 25 there which was how I was able to set up the meeting, I think.

- 1 Q. With regard to Sparboe now -- withdrawn.
- 2 You testified earlier that in connection with your
- 3 consulting agreement -- or arrangement, I should say, with
- 4 UEP, you did media relations, correct?
- 5 A. Yes.
- 6 Q. You did an interview, was it for CBS? 60 Minutes or
- 7 something like that?
- 8 A. Yeah, I took a USA Today reporter to an egg farm, things
- 9 of that nature.
- 10 Q. Okay. So you have -- you have some -- and of course
- 11 today you're a university president, right?
- 12 A. Yes.
- 13 Q. You deal with the media, yes?
- 14 A. Yes.
- 15 O. All right. So you have -- you have some idea of the
- impact that the mass media has on consumers, true?
- 17 A. True.
- 18 Q. And on companies, yes?
- 19 A. Yes.
- 20 Q. All right. So you testified yesterday about this Sparboe
- 21 video that the jury's heard about previously.
- Do you recall that testimony? The subject matter?
- 23 A. Sparboe video?
- 24 Q. Do you recall a video that was shown of Sparboe on
- 25 ABC 20/20? Do you know anything about that? If you don't,

- 1 I'll stop asking you about it.
- 2 A. I don't recall mentioning a video yesterday, and I don't
- 3 recall that video. I could have seen it then.
- 4 Q. Okay. If I misremembered, then I apologize. But let
- 5 me -- let me keep moving.
- Based on your experience and your savvy dealing with
- 7 media and TV, I'm interested in your opinion, sir, if you have
- 8 one, about what impact you think a video of a -- a video of
- 9 the mistreatment of hens in a henhouse showing dead hens
- 10 laying in cages, showing, you know, mishandling of hens when
- 11 they're being pulled out of cages and being put into disposal
- 12 containers, of manure piles that stretch as far as the eye can
- 13 see 4 and 5 feet tall. Imagine, sir, if a video like that
- 14 were aired on 20/20. You're familiar with that show, yes?
- 15 A. Yes.
- 16 Q. Or 60 Minutes, you're familiar with that show?
- 17 A. Um-hum.
- 18 Q. Is that a yes?
- 19 A. Yes, I'm familiar with those shows.
- 20 Q. What do you think the impact would be on consumers?
- MR. KING: Objection. No foundation.
- MS. SUMNER: Objection. Foundation.
- 23 THE COURT: This is beyond the scope of the direct
- 24 examination.
- MR. BLECHMAN: Your Honor, I'll move on.

- 1 Plaintiffs' Exhibit 96, please.
- 2 May I approach, Your Honor?
- 3 THE COURT: Yes, you may.
- 4 MR. BLECHMAN: Thank you.
- 5 BY MR. BLECHMAN:
- 6 Q. Dr. Armstrong, I've handed you an e-mail that is dated
- 7 January 6, 2002, from you to Gene Gregory on the subject of
- 8 concerns from the committee.
- 9 Do you see that?
- 10 A. I do.
- 11 Q. Did you write this e-mail?
- 12 A. Yes.
- 13 Q. Did you do so in your capacity as chairperson of the
- 14 Science Advisory Committee for the UEP?
- 15 A. Yes.
- 16 Q. And did you send this e-mail to Gene Gregory?
- 17 A. Yes, and copied the committee.
- 18 Q. Thank you.
- And Gene Gregory at the time was an executive at the
- 20 UEP, correct?
- 21 A. Yes.
- MR. BLECHMAN: All right. Your Honor, Plaintiffs
- 23 offer Exhibit 96 in evidence.
- MS. SUMNER: No objection.
- THE COURT: P-96 is admitted.

- 1 (Exhibit received in evidence.)
- 2 MR. BLECHMAN: May we publish, please?
- THE COURT: Yes.
- 4 MR. BLECHMAN: Thank you.
- 5 BY MR. BLECHMAN:
- 6 Q. Directing your attention to third paragraph,
- 7 Dr. Armstrong, about halfway down the page, tell me when
- 8 you're there.
- 9 A. Yes.
- 10 Q. The sentence that begins: That being the case...
- 11 A. Yes.
- 12 Q. All right. You write to Mr. Gregory: That being the
- 13 case, it was requested that the Scientific Committee members'
- 14 names were to be used only -- and that word is in caps -- on
- 15 the scientific document. Under no circumstances does the
- 16 committee want its name used on the producer guidelines nor
- 17 any other guidelines that the UEP comes up with, unless
- 18 permission is asked in advance by each member.
- 19 Did I read that correctly?
- 20 A. Yes.
- 21 Q. And, sir, you sent this -- this e-mail to all the
- 22 committee members, true?
- 23 A. Yes, during the transition in 2002.
- 24 Q. All right. And did you send this e-mail to all the
- committee members with their knowledge and prior consent?

- 1 A. Oh, yeah. Absolutely. Yes, absolutely.
- 2 Q. Okay. I'm done with that document.
- 3 A. And they later put their names on.
- 4 Q. Thank you for volunteering that. Let's move to another
- 5 subject.
- 6 MR. BLECHMAN: Your Honor, the next subject I'm
- 7 going to move to will take us, depending on when the Court
- 8 wants to break for lunch, past 12:30. I can start or --
- 9 THE COURT: How far past?
- 10 MR. BLECHMAN: This is -- it's not this long in
- 11 terms of the number. There's thick documents inside. Oh, how
- 12 far past lunch? I think it could -- this could take another
- 13 half hour, Your Honor.
- 14 THE COURT: All right, then why don't we break early
- 15 for lunch, recognizing that we are ending today at four.
- MR. BLECHMAN: Yes.
- 17 THE COURT: Okay. So, ladies and gentlemen, enjoy
- 18 lunchtime. Same rules apply. Let's be back here at no later
- 19 than 1:15. An hour lunch. Does the hour work for you guys?
- 20 Is that a reasonable time? Great. See you in an hour.
- 21 THE DEPUTY CLERK: All rise.
- 22 (Jury out.)
- 23 THE COURT: Dr. Armstrong, you can step down and
- 24 maybe if you wouldn't mind going out into the hall.
- Have a seat, everybody.

- 1 (Witness left the courtroom.)
- THE COURT: I'm just curious, are we going to spend
- 3 any more time verifying addresses of university offices that
- 4 don't appear to be in dispute?
- 5 MR. BLECHMAN: Your Honor, I did that because I was
- 6 not sure whether the document would be disputed.
- 7 THE COURT: It's only a --
- 8 MR. BLECHMAN: But I'd like to answer the Court's
- 9 question.
- 10 THE COURT: It takes a lot of time to read all these
- 11 long addresses.
- 12 MR. BLECHMAN: Your Honor, I don't use a minute that
- 13 I don't need to. In the Class trial, that document was
- 14 offered and there were -- there were issues. It's not clear
- 15 to me that it was admitted in evidence. There were objections
- 16 that were lodged by the Defense at the time, and so I asked
- 17 the questions I did.
- 18 THE COURT: I think my point is pretty obvious.
- MR. BLECHMAN: I understand, Your Honor.
- 20 THE COURT: Okay. I mean, I didn't say anything at
- 21 the time.
- MR. BLECHMAN: No.
- 23 THE COURT: It was almost a comment made on the way
- 24 to being in jest.
- MR. BLECHMAN: I understand that.

- 1 THE COURT: Not completely in jest, just a little
- 2 bit of a tweak as opposed to a beak.
- 3 MR. BLECHMAN: Got it.
- 4 THE COURT: Also then is a segue to my next
- 5 question, which is --
- 6 MR. BLECHMAN: I have two other subjects, the next
- 7 of which is going to be longer.
- 8 THE COURT: About a half hour.
- 9 MR. BLECHMAN: I would say 30 to 40 minutes and then
- 10 I should be done.
- 11 THE COURT: Okay, do you envision much redirect?
- MS. SUMNER: Not much.
- 13 THE COURT: Okay. Is there going to be anything
- more from anybody on this, this Dr. Armstrong?
- 15 MR. KING: I'll confer with cocounsel.
- 16 THE COURT: Okay. Well, the point is, it seems
- 17 likely then we'll have time for another witness, who will
- 18 be --
- MR. KING: It looks like it's going to be
- 20 Mr. Marshall.
- 21 THE COURT: Okay. And will that probably take up
- 22 the rest of the afternoon?
- 23 MR. KING: I think we anticipate that his direct
- 24 will take about an hour and then I don't know how long the
- 25 cross would last. It could leave us -- it could leave us shy

- of 4 o'clock. Mr. Hurd's testimony is pretty lengthy.
- THE COURT: There's no way that will be completed, I
- 3 understand that.
- 4 MR. KING: And we could start it --
- 5 THE COURT: It depends upon how shy as to whether or
- 6 not we're going to get started.
- 7 MR. KING: Yes.
- 8 THE COURT: Okay, well, let's just stay, you know,
- 9 stay limber.
- Do any of you people use like the gym or do Pilates
- 11 while you're doing this?
- MR. SLATER: In our spare time.
- 13 THE COURT: No, I mean, that could be restful.
- MR. BLECHMAN: It would be.
- THE COURT: Some of the best thinking happens in the
- 16 shower following the exercise.
- 17 Okay, see you. Have a nice lunch.
- MR. BLECHMAN: Thank you, Your Honor.
- 19 (Luncheon recess.)
- 20 (After luncheon recess:)
- 21 THE COURT: Okay, are you ready to return?
- MR. BLECHMAN: Yes, Your Honor.
- THE DEPUTY CLERK: All rise.
- 24 (Jury in.)
- THE COURT: It looks like you guys are going to

- 1 float away.
- Okay, everybody have a seat.
- 3 Mr. Blechman --
- By the way, I hope you had a nice lunchtime. We are
- 5 going to go until pretty close to 4 o'clock, as I mentioned,
- 6 and then -- and there probably will be time for a brief break
- 7 in between, you know, between now and then.
- 8 Mr. Blechman, you may resume.
- 9 MR. BLECHMAN: Thank you, Your Honor.
- 10 BY MR. BLECHMAN:
- 11 Q. I have two other subjects, Dr. Armstrong, I want to cover
- 12 with you. In working into the first one, first a few
- 13 questions about the Science Advisory Committee. Would you
- 14 agree that you and your colleagues were conscientious in the
- 15 work you did?
- 16 A. Yes.
- 17 Q. Were you diligent?
- 18 A. Yes.
- 19 Q. Were you thoughtful?
- 20 A. Yes.
- 21 Q. Did you pay attention to details?
- 22 A. Yes.
- 23 Q. You took, by my count, 21 months from the time the
- 24 committee came together in about January of 2019 -- January
- of 2000 -- excuse me. January of 1999 until September of 2000

- 1 when you issued the September 2000 recommendations, correct?
- 2 A. Yes.
- 3 Q. Twenty-one months, yes?
- 4 A. Yes.
- 5 Q. And the September 2000 recommendations were a revision of
- 6 the May 2000 recommendation, true?
- 7 A. Yes.
- 8 Q. So after the May 2000 -- excuse me, withdrawn.
- 9 And before the May 2000 recommendations, you've
- 10 testified that you provided a preliminary report -- and I'm
- 11 not sure if you used the word "report," so I use that word
- 12 loosely -- to the UEP board about -- or Producer Committee
- about what you were doing, correct?
- 14 A. Yes.
- 15 Q. Okay. And after the preliminary report to the UEP, you
- 16 and the committee continued to work, right?
- 17 A. Correct.
- 18 O. And after the May 2000 recommendations that came out, you
- 19 and the committee continued to work, right?
- 20 A. Yes.
- 21 Q. Now let's take a look at Plaintiffs' Exhibit 43, sir.
- 22 That is the recommendations that you spoke of for May 2000
- 23 which is in evidence.
- MR. BLECHMAN: If we might publish that, please.
- 25 BY MR. BLECHMAN:

- 1 Q. And, Dr. Armstrong, I believe you'll find that on the
- 2 screen, but you're welcome to look at the document in hard
- 3 copy. Just tell me when you're ready.
- 4 A. I would prefer a hard copy.
- 5 Q. That's fine.
- 6 A. Thank you. I don't think I have that one.
- 7 MR. BLECHMAN: May I approach, Your Honor?
- 8 THE COURT: Sure.
- 9 BY MR. BLECHMAN:
- 10 Q. I'm handing the witness what has been marked as
- 11 Plaintiffs' Exhibit 43.
- 12 A. Okay, thank you.
- 13 Q. Sure. Do you have that document in front of you?
- 14 A. Yes.
- 15 Q. Dr. Armstrong, you're familiar with Plaintiffs'
- 16 Exhibit 43, the May 2000 recommendation, yes?
- 17 A. Yes.
- 18 Q. You had a hand in writing it, true?
- 19 A. Yes.
- 20 Q. Sir, am I correct that the words "100% rule" appear
- 21 nowhere in the May 2000 recommendations?
- 22 A. That's correct.
- 23 Q. Okay. I'm done with that document.
- Let's move to the September 2000 recommendations.
- 25 That is Plaintiffs' Exhibit 52, which is also in evidence, and

- 1 that might be in your -- do you have that?
- 2 A. I have it.
- MR. BLECHMAN: All right, if we might put that up
- 4 for the jury.
- 5 BY MR. BLECHMAN:
- 6 Q. Dr. Armstrong, the words "100% rule" appear nowhere in
- 7 the September 2000 recommendation, true?
- 8 A. Correct.
- 9 Q. Would you agree, sir, that if the 100% rule were somehow
- 10 implied into the -- withdrawn actually.
- 11 Let me take you through another. Let's take a look
- 12 at Plaintiffs' Exhibit 57, which is the 2000 edition of the
- 13 Animal Husbandry Guidelines. Tell me if you have that handy.
- 14 Let me give you a hard copy to make it easier for you.
- MR. BLECHMAN: May I approach?
- THE COURT: Yes.
- 17 THE WITNESS: Thank you.
- 18 BY MR. BLECHMAN:
- 19 Q. Sir, I've handed you what has been marked as the 2000
- 20 Animal Husbandry Guidelines for the UEP, correct?
- 21 A. Correct.
- 22 Q. And you're familiar with this document?
- 23 A. Yes.
- 24 Q. Correct?
- 25 A. Yes.

- 1 O. Am I correct, sir, that the words "100% rule" appear
- 2 nowhere in the 2000 UEP Animal Guidelines?
- 3 A. Correct.
- 4 Q. All right. And let's take a look now at Plaintiffs'
- 5 Exhibit 93, which is the 2002 edition of UEP Guidelines, which
- 6 is Exhibit -- excuse me, which is Exhibit D-175, which is
- 7 already in evidence.
- 8 MR. BLECHMAN: If we might bring that up.
- 9 BY MR. BLECHMAN:
- 10 Q. Do you have that handy?
- 11 A. Yes.
- 12 O. Okay. Sir, am I correct that the words "100% rule"
- 13 appear nowhere in the 2002 UEP Animal Husbandry Guidelines?
- 14 A. Correct.
- 15 O. Your testimony, however, is that the 100% rule is somehow
- implied or read into the 2000 and 2002 guidelines, true?
- 17 A. We didn't consider it. We assumed that it would be for
- 18 all. We didn't -- we didn't talk about it. We didn't -- we
- 19 did not talk about it.
- 20 Q. Okay. Is your testimony in this trial that the 100% rule
- 21 should be read into the 2000 UEP Guidelines?
- 22 A. Yes. I think it was the --
- 23 Q. Okay.
- 24 A. -- expectation of the Scientific Committee that these
- 25 would be guidelines for all -- all UEP hens.

- 1 Q. And --
- 2 A. But we did not talk about it.
- 3 Q. Is your answer -- so next question is: Is your testimony
- 4 that the 100% rule should be read into the UEP Guidelines for
- 5 2002?
- 6 A. No. I -- I -- all I -- I can only answer how I answered.
- 7 We did not anticipate that producers would not certify or use
- 8 the guidelines on all their birds, so we did not talk about
- 9 it. So I can't --
- 10 Q. Okay.
- 11 A. -- speak for the committee, but when we learned about it,
- 12 we acted.
- 13 Q. I may have misheard you but I thought -- I thought in
- 14 response to my question about whether the 100% rule, you
- 15 thought it should be read into the 2000 guidelines, I thought
- 16 you said correct. Did I misunderstand?
- 17 A. Well, it's a matter of how you word it.
- 18 O. Okay, well, here's --
- 19 A. I think -- I think if you ask the Scientific Committee
- 20 when we started talking about it, we didn't talk about it,
- 21 because we assumed --
- 22 Q. Right.
- 23 A. -- we assumed that it would pertain to all birds.
- 24 Q. Okay. Let's work with that.
- 25 A. And that's all I can -- that's all I can say.

- 1 Q. So let's work with that testimony. You assumed it. So
- 2 do I take it from your answer just now that you think the 100%
- 3 rule should be read into the 2000 guidelines?
- 4 MS. SUMNER: Objection. Asked and answered.
- 5 THE COURT: It seems to me that you did ask him the
- 6 question.
- 7 BY MR. BLECHMAN:
- 8 Q. All right, let me try the 2002 then, because I'm not sure
- 9 we got an answer on that one.
- Is it your testimony, sir, that the 100% rule should
- 11 be read into the 2002 guidelines? In other words, should we
- 12 read it and perceive that it's implied in these 2002
- 13 guidelines, yes or no?
- MS. SUMNER: Objection. Asked and answered.
- THE WITNESS: Well, my --
- 16 THE COURT: Well, he's going through different
- 17 documents.
- 18 BY MR. BLECHMAN:
- 19 Q. Um-hum.
- 20 A. My response to that is that the committee did not discuss
- 21 it and the response is that the science-based guidelines
- 22 evolved as we learned more and knew more.
- 23 Q. Understand. But what I'm trying to understand is,
- 24 whether it's your testimony now in 2019, that when we look
- 25 back at the 2002 guidelines, that we should read them and

- 1 assume the 100% rule and assume the 100% rule into the reading
- 2 of those 2002 guidelines?
- 3 A. Our group of scientists would never say that, because we
- 4 don't go back and assume. We have the guidelines as they
- 5 existed. When we learned about producers that would not
- 6 provide those guidelines to birds, that's when we took action.
- 7 That's all I can answer. I don't -- don't -- I don't --
- 8 Q. Well, here's what I'm trying to figure out. Here's what
- 9 I'm trying to figure out.
- 10 A. I'm not trying to be difficult, I'm just trying to
- 11 answer --
- 12 Q. And I appreciate it.
- 13 A. -- as I experienced it.
- 14 Q. Thank you, sir.
- 15 Here's what I'm trying to figure out, is, in January
- of 2002, the UEP Board of Directors takes up and passes a
- 17 motion for 100 percent of producer packer facilities to be
- 18 certified as on the UEP Welfare Program starting April 1,
- 19 2002. And my question to you is: If the 100% rule should be
- 20 assumed in the 2002 guidelines or the 2000 guidelines or
- 21 should be read into the 2000 or the 2002 guidelines, then why
- 22 was it necessary for the UEP board in January of 2002 to take
- 23 up, consider and pass a motion to approve the 100% rule?
- 24 A. I -- all I can tell you is that our committee did not
- 25 discuss the 100% rule until it came -- until it came up at the

- 1 time.
- 2 Q. Okay.
- 3 A. And it was an animal welfare concern.
- 4 Q. Thank you.
- 5 A. And the committee -- we didn't talk about it because no
- 6 one thought that producers would -- would separate it out but
- 7 we didn't talk about it. So as a group of scientists we're
- 8 not going to read something into guidelines that we didn't do.
- 9 Q. I get it.
- 10 A. The guidelines are the guidelines at that time and they
- 11 evolve. I can't answer it any differently.
- 12 Q. I get it that you didn't talk about it.
- 13 So let me turn then to April of 2002. If -- if the
- 14 100% rule should be assumed to be in or read into the 2000 or
- 15 the 2002 guidelines, then why was it that on April 4, 2002,
- 16 the UEP board took up a motion and passed with regard to
- 17 changing the status of a Certified Program company from 100
- 18 percent producer packer facilities to 100 percent of company
- 19 facilities regardless of where or how eggs may be marketed?
- 20 MS. SUMNER: Objection. Foundation.
- 21 THE COURT: Well, it's a very, very long question --
- MR. BLECHMAN: I'll rephrase.
- 23 THE COURT: -- Mr. Blechman. Why don't you try and
- 24 rephrase it.
- MR. BLECHMAN: Sure. I will.

- 1 BY MR. BLECHMAN:
- 2 Q. If the 100% rule should be read into -- withdrawn.
- If the 100% rule should be assumed in, say, the 2000
- 4 quidelines, then what is your understanding, if any, as to why
- 5 the UEP Board of Directors would be taking up a motion to
- 6 approve an expanded version of the 100% rule on April 4, 2002?
- 7 A. I've already addressed the science side of it, and you'd
- 8 have to ask the producers, I do not know.
- 9 Q. Finally in this -- in this sequence, if the 100% rule
- 10 should be assumed in the reading of the 2000 UEP Guidelines or
- 11 the 2002 UEP Guidelines, then, sir, what is your
- 12 understanding, if any, of why on October 9, 2002, the UEP
- 13 Animal Welfare Committee took up and passed a motion with
- 14 regard to implementing the Welfare Guidelines and 100% of all
- 15 production facilities regardless of how or where eggs may be
- 16 marketed?
- 17 A. So that was -- that was 2009?
- 18 Q. October 9, 2002.
- 19 A. I don't recall when we -- when we first brought it up,
- 20 but we brought it up as a committee at some point in time.
- 21 Q. With regard to the recommendations that you played a role
- 22 in preparing, am I correct that there is no provision in the
- 23 May or the September 2000 recommendations for producers must
- 24 agree to the recommendations, correct?
- 25 A. We --

- 1 Q. Do you want me to restate the question?
- 2 A. We provided the guidelines. The UEP executive group,
- 3 they voted unanimously to accept them. That's my
- 4 understanding.
- 5 Q. And is it your understanding, sir, that there's no
- 6 provision in the May or September 2000 recommendations that
- 7 producers must agree to the recommendations?
- 8 A. Are you talking about the producer guidelines?
- 9 Q. I'm talking about the recommendations of the Science
- 10 Advisory Committee of which you served as the chairperson.
- 11 A. We never -- at the beginning, we talked about what are
- 12 the best -- what do we know, what we don't know in the
- 13 different areas, we developed the guidelines.
- 14 Q. And my question to you, Dr. Armstrong, is: Isn't it true
- 15 that there are no words in the May or the September 2000
- 16 recommendations stating that producers must agree to these
- 17 recommendations? Isn't that true?
- 18 A. Yeah, that would be unusual for scientists to say you
- 19 must do that. So yes, you're right.
- 20 Q. Thank you.
- 21 And isn't it true that there's no provision in these
- 22 recommendations for May and September of 2000 requiring
- 23 producers to sign a commitment agreement to comply with the
- 24 recommendations?
- 25 A. That's UEP, not the Scientific Committee.

- 1 Q. Is that your way of answering my question?
- 2 A. Yes.
- 3 Q. Yes.
- 4 A. Yes.
- 5 Q. Thank you.
- Isn't it true, sir, that in the May and the 2000
- 7 recommendations, there's no provision in either of these
- 8 documents for an audit?
- 9 A. Correct.
- 10 Q. Isn't it true, sir, that in the May and the 2000
- 11 recommendations, there's no provision in either of these
- 12 documents for a marketing program?
- 13 A. Correct.
- 14 Q. Isn't it true, sir, that in the May and the
- 15 September 2000 recommendations, the word "backfilling" appear
- 16 nowhere?
- 17 A. That's correct.
- 18 O. Isn't it true that in May and September of 2000, you
- 19 hoped that producers would abide by the recommendations; isn't
- 20 that true?
- 21 A. Yes.
- 22 Q. Okay.
- 23 A. Yes.
- 24 Q. But there was no requirement, sir, was there, in either
- 25 the May or the 2000 recommendations that producers comply with

- 1 the recommendations, true?
- 2 A. That would be extremely unusual for a group of scientists
- 3 to try to impose a set of science on producers, so you're
- 4 correct.
- 5 Q. Thank you.
- Now, with regard to the guidelines for 2000 and
- 7 2002, isn't it true that there is no provision in the 2000 and
- 8 2002 guidelines for producers must agree to the guidelines?
- 9 Isn't that true?
- 10 A. Correct.
- 11 Q. Isn't it true, sir, that there's no provision in the 2000
- or the 2002 guidelines for producers to sign a commitment
- 13 agreement to comply with the guidelines?
- 14 A. And you're talking about the science-based guidelines.
- 15 O. I'm talking about the 2000 and 2002 UEP Guidelines, sir.
- 16 Isn't it true that there is no provision in either of those
- 17 guidelines for producers to -- a requirement that producers
- 18 sign a commitment agreement to comply with the guidelines?
- 19 A. I would assume you're correct.
- 20 Q. You know that to be true, don't you?
- 21 A. Yes.
- Q. Okay. Isn't it true, sir, that in the 2000 and the 2002
- 23 UEP Guidelines, the word "backfilling" appear nowhere?
- 24 A. Correct.
- 25 Q. And isn't it true, sir, that you yourself hoped that

- 1 producers would comply with the 2000 and 2002 guidelines?
- 2 A. Oh, we started at the very beginning and we obviously
- 3 were very delighted that they accepted the science guidelines
- 4 and so, yes, we hoped they would implement everything.
- 5 Q. Isn't it also true, though, Dr. Armstrong, that there was
- 6 no requirement in the 2000 or the 2002 guidelines that
- 7 producers follow the guidelines?
- 8 A. Correct.
- 9 Q. Dr. Armstrong, isn't it true that in the April 2004
- 10 period, you participated in discussions with others about
- 11 trying to convince producers to add more feeder space for
- 12 hens?
- 13 A. Yes, that seems -- yes.
- 14 Q. You worked with Patricia -- excuse me, withdrawn.
- 15 You worked with Dr. Hester on that issue, true?
- 16 A. And the rest of the committee. Yes.
- 17 Q. Dr. Hester -- Dr. Hester wrote to you in the April 2004
- 18 time period in your capacity as chairperson of the Science
- 19 Advisory Committee about managing the message to use in per --
- 20 in trying to persuade producers to add more feeder space for
- 21 hens, true?
- 22 A. Yes.
- 23 Q. She told you, did she not -- well, let me back up. Let
- 24 me show you what is already in evidence.
- MR. BLECHMAN: Plaintiffs' Exhibit 223, if we might

- 1 have that brought up.
- 2 BY MR. BLECHMAN:
- 3 Q. This is -- it's a three-page e-mail at the top of which
- 4 is an e-mail from Dr. Hester to you, Dr. Armstrong, and
- 5 others. We reviewed it earlier today. Tell me when you've
- 6 got it.
- 7 A. What number is it?
- 8 Q. 223.
- 9 MR. BLECHMAN: Your Honor, may I approach --
- 10 THE COURT: Yes.
- 11 MR. BLECHMAN: Let me make it easier for you.
- 12 THE WITNESS: There it is.
- 13 BY MR. BLECHMAN:
- 14 Q. You got it?
- 15 A. It was on the bottom.
- 16 Q. No worries.
- 17 A. I'm sorry.
- 18 Q. No worries.
- Do you have Exhibit 223 in front of you?
- 20 A. I do.
- 21 Q. All right. The first -- I asked you some questions
- 22 earlier about the second e-mail in this document, which is
- 23 earlier time, but now we're going to shift to the first e-mail
- 24 which is on the first page.
- Do you have the first page in front of you, sir?

- 1 A. Yes.
- 2 Q. All right. This is an e-mail from Dr. Hester to you and
- 3 others dated April 23, 2004.
- 4 Do you see that?
- 5 A. Yes.
- 6 Q. And the subject is: UEP Scientific Advisory Committee
- 7 for Animal Welfare. Correct?
- 8 A. Yes.
- 9 Q. All right. Let's identify a few people. One of the
- 10 people to whom Dr. Hester sent this e-mail to is you, true?
- 11 A. Yes.
- 12 Q. Another is Paul Bahan. He was chair of the UEP Producer
- 13 Committee at the time?
- 14 A. Yes.
- 15 O. And another person who receives this e-mail is Gene
- 16 Gregory, he being the executive at the UEP, correct?
- 17 A. Yes.
- 18 O. All right. And feeder space was an issue that you-all
- 19 were looking at at the time, correct?
- 20 A. Yes.
- 21 Q. You regarded feeder space as an animal welfare issue,
- 22 true?
- 23 A. True.
- Q. Okay. Now, let's take a look at the one, two -- three
- 25 paragraphs down, if you would. And starting in the fourth

- 1 line, directing your attention to the following passage, this
- 2 is what Dr. Hester writes, in part, to you in this e-mail:
- 3 The UEP newsletter yesterday lamented the recent
- 4 drop in egg prices. Is it really due to a lost interest in
- 5 the Atkins diet or is it due to the beginning stages of
- 6 oversupply of eggs? If it is the latter, then recommendation
- 7 of providing 4 inches of feeder space will reduce the supply
- 8 of eggs ensuring profits for another year or two before the
- 9 industry oversupplies again. The producer wins, the hen wins,
- 10 and the consumer who is concerned about hen welfare and is
- 11 willing to pay higher prices for eggs wins. Eggs are
- 12 considered an inelastic product anyway, so consumers buy eggs
- 13 regardless of costs.
- 14 Did I read that correctly?
- 15 A. You did.
- 16 Q. All right. Upon -- the reference to costs at the end of
- 17 that paragraph that I just read to you --
- 18 A. Yes.
- 19 Q. -- do you interpret the word "costs" there to refer to
- 20 price?
- 21 A. I --
- 22 Q. Where it reads: Eggs are considered an inelastic product
- 23 anyway, so consumers buy eggs regardless of costs. That's
- 24 costs to the consumer, the price to the consumer, correct?
- 25 A. I think that's what she was referencing, yes.

- 1 Q. Okay. And that's what you -- that's fair to believe,
- 2 that that's --
- 3 A. That's the way I read it today, yes.
- 4 Q. Fair enough.
- 5 Upon reading this e-mail from Dr. Hester, did you
- 6 communicate with her that she should not justify an animal
- 7 welfare measure based on reducing the egg supply and
- 8 increasing profits to producers?
- 9 A. I don't recall what I said to her, but I can tell you
- 10 that feeder space, any changes were made based on the
- 11 scientific recommendations and hen welfare.
- 12 Q. But here -- but here --
- 13 A. She made the statement. I don't recall what I said to
- 14 her, if I said anything about it.
- 15 O. Okay. But -- but I want to work with your expanded
- 16 answer, because you said you don't recall, but then you gave
- 17 more of an answer. So this is what I want to try to work with
- 18 you on.
- Is -- is your testimony you don't recall what, if
- 20 anything, you said to Dr. Hester in response to this e-mail?
- 21 A. Correct.
- 22 Q. Okay. My next question is: After you received this
- 23 e-mail from Dr. Hester, did you have a communication with Gene
- 24 Gregory of the UEP and discuss with him using animal welfare
- 25 issues to justify reducing supply and increasing egg prices to

- 1 consumers?
- 2 A. No.
- 3 Q. Okay. Dr. Armstrong, were you aware -- withdrawn.
- In January of 2002, you were on the Science Advisory
- 5 Committee, correct?
- 6 A. Yes.
- 7 Q. And you were working with UEP, correct?
- 8 A. Yes.
- 9 Q. And you know who Ken Looper is from Cal-Maine?
- 10 A. I do.
- 11 Q. All right. He was also on the UEP Board of Directors,
- 12 true?
- 13 A. Yes.
- 14 Q. Were you aware, sir, that on January 30, 2002,
- 15 Mr. Gregory of UEP wrote to Ken Looper about the Certified
- 16 Program that: A number of producers have asked me when the
- 17 issue changed from animal welfare issue to a supply/demand
- 18 program.
- Do you have any memory of that communication?
- 20 A. I do not recall that.
- 21 Q. Did Mr. Gregory discuss with you, in or about January or
- 22 February of 2002, the fact that there were producers on the
- 23 UEP who were expressing concern about when the issue of animal
- 24 welfare and the guidelines changed to supply/demand production
- in the Certified Program? Do you have any memory of that?

- 1 A. I don't recall.
- 2 Q. You never talked to him about that?
- 3 A. No, that I recall.
- 4 Q. All right. So you weren't aware of it.
- 5 A. I'm not aware of Mr. Looper's -- I don't recall
- 6 Mr. Looper's statements.
- 7 Q. Okay.
- 8 A. There were a lot of statements made like Scotti's
- 9 statement and others, but I can tell you what we did over the
- 10 long haul. We really focused on the science from the
- 11 Scientific Advisory Committee.
- 12 Q. Thank you.
- But for the sake of clarity, you weren't aware of
- 14 that communication, were you?
- 15 A. Not that I recall.
- 16 Q. Okay. In March of 2002, you were also head of the
- 17 Science Advisory Committee of the UEP, correct?
- 18 A. Correct.
- 19 Q. And you were the liaison to the Producer Committee of the
- 20 UEP during that time as well, correct?
- 21 A. That's correct.
- 22 Q. And you identified earlier, in Producer Committee
- 23 minutes, Ky Hendrix of Rose Acre, correct?
- 24 A. Yes, we talked about that earlier.
- 25 Q. And you identified earlier that you knew of Marcus Rust

- 1 as the president of Rose Acre, correct?
- 2 A. Yes, because I knew most of the producers.
- 3 Q. Were you aware, sir, that in March of 2002, a Rose Acre
- 4 executive expressed to the company president that he thought
- 5 there was more to the Certified Program than animal welfare?
- 6 MR. KING: Objection. Foun- --
- 7 THE WITNESS: No, I do not recall.
- 8 THE COURT: Wait. There was an objection. It's a
- 9 hearsay objection?
- 10 MR. KING: There's no foundation. He's asking about
- 11 internal Rose Acre communications.
- MR. BLECHMAN: Mr. Hendrix, I'm sorry.
- 13 THE COURT: Okay, do you want to --
- 14 This is Dr. Armstrong.
- 15 BY MR. BLECHMAN:
- 16 Q. Let me try it a different way.
- 17 You attended Producer Committee meetings with
- 18 Mr. Hendrix of Rose Acre, correct?
- 19 A. Correct.
- 20 Q. All right. And you attended Producer Committee meetings
- 21 in March of 2002, correct?
- 22 A. Correct.
- 23 Q. You met Ky Hendrix of Rose Acre at Producer Committee
- 24 meetings, true?
- 25 A. True.

- 1 Q. All right. So my question to you is based on the fact
- 2 that you knew Mr. Hendrix, that you attended meetings with
- 3 him, whether in March of 2002 he ever told you that he had
- 4 expressed to Rose Acre's president concern about whether there
- 5 was more to the Certified Program than animal welfare, yes or
- 6 no?
- 7 THE COURT: Wait.
- 8 THE WITNESS: I do not recall.
- 9 THE COURT: Whoa, whoa, whoa. Wait.
- 10 MR. KING: He answered it.
- 11 THE COURT: Okay, go ahead. Sorry.
- 12 BY MR. BLECHMAN:
- 13 Q. Okay. You've -- you've identified Sparboe in -- in some
- 14 answers to questions both today and earlier, correct?
- 15 A. Yes.
- 16 Q. This is a company that you're familiar with?
- 17 A. Yes, as well as any in the UEP.
- 18 O. Okay. Did Mr. Gregory of UEP -- withdrawn.
- In 2003 you were the chairperson of the Science
- 20 Advisory Committee of the UEP, correct?
- 21 A. Yes.
- 22 Q. And during that period, you'd have communications with
- 23 Mr. Gregory, correct?
- 24 A. Yes.
- 25 Q. All right. In 2003 did Mr. Gregory of the UEP tell you

- 1 that Sparboe had written to UEP's lawyers expressing the view
- 2 that the Animal Welfare Program had a hidden agenda and was
- 3 being used to reduce output in an effort to increase prices?
- 4 MS. SUMNER: Objection.
- 5 THE COURT: What is the objection?
- 6 MS. SUMNER: Foundation.
- 7 MR. BLECHMAN: I think I --
- 8 THE COURT: Well, it's really just a question did
- 9 Mr. Gregory say something to Dr. Armstrong.
- 10 MR. BLECHMAN: Yes.
- 11 THE COURT: Okay, then I'm going to overrule the
- 12 objection.
- And you may answer the question.
- 14 THE WITNESS: Yeah, I do not recall discussions or
- 15 the Animal Welfare Committee -- the science-based quidelines
- 16 were being twisted into something else. If I had had that, I
- 17 would have taken it to the committee.
- 18 Now, could I have heard comments like that off the
- 19 cuff, listening to the many committee meetings, I'm sitting
- 20 there doing e-mail, I don't know what all was stated. But I
- 21 can tell you that never at any time did it affect our
- 22 Scientific Committee's work or the guidelines. They were
- 23 substantially in place in 1999, modified in May and in
- 24 September. The main points of the science-based guidelines
- 25 didn't change over time.

- 1 BY MR. BLECHMAN:
- 2 Q. Dr. Armstrong, so I thought I heard in your testimony
- 3 that you just said that you may have heard those kinds of
- 4 concerns about --
- 5 A. Well --
- 6 Q. Let me -- you might have heard, at that time, concerns
- 7 expressed by UEP Producers about the Animal Welfare Program
- 8 having a hidden agenda and other words to that effect.
- 9 A. I don't recall ever hearing anything about a hidden
- 10 agenda.
- 11 Q. Okay.
- 12 A. But -- but I attended a lot of producer meetings.
- 13 There's a lot of comments made in the notes, in the minutes.
- 14 I can tell you if I had heard of a hidden agenda, I would have
- 15 taken it to our committee, because we believed that deeply in
- 16 those science-based guidelines that were basically formed in
- 17 '99, early 2000.
- 18 Q. You would have taken it to your committee, yes?
- 19 A. Yes, if I felt it was systemic, if I felt there was
- 20 something going on.
- 21 Q. So I can't help but ask you then, going back to
- 22 Dr. Hester's e-mail to you of April 23, 2004, that when you
- 23 get an e-mail from her that is expressly explaining that the
- 24 committee -- excuse me, that is expressly explaining that an
- 25 animal welfare measure in this case with regard to feeder

- 1 space for hens should be justified based -- based on being
- 2 able to reduce the egg supply and ensure profits for egg
- 3 producers, did you take that communication to the committee?
- 4 A. As I answered earlier, that was her comment, and you're
- 5 taking multivolume books, of chapters, and looking at one
- 6 page.
- 7 Q. Well, we've actually looked at more than one page.
- 8 A. Oh, I fully agree with that.
- 9 Q. Let's -- let's -- let's move to February --
- 10 A. But I can tell you, committee members made comments,
- 11 other committee members could make comments, but that's not
- 12 something we talked about.
- 13 Q. Okay.
- 14 A. I mean, in this e-mail, obviously, Scotti said it.
- 15 O. So then it is something that was discussed in -- we know
- 16 at least in one e-mail it was discussed, right?
- 17 A. Well, there's a difference in the committee discussing it
- 18 versus someone mentioning it in an e-mail.
- 19 Q. Right. And so what we have to work on --
- 20 A. It's not in our guidelines and did not influence our
- 21 quidelines.
- 22 Q. And what we have to work with now are the written records
- that were created at the time of what people were thinking and
- 24 saying and telling to each other. We don't have any other
- 25 documents at that point, right?

- 1 THE COURT: Okay, was that a question?
- 2 MR. BLECHMAN: Right?
- 3 THE WITNESS: That's why I worked really hard --
- 4 BY MR. BLECHMAN:
- 5 Q. Okay.
- 6 A. -- with the committee to document our scientific
- 7 quidelines along the way.
- 8 Q. Okay. You said you knew Marcus Rust from Rose Acre,
- 9 correct?
- 10 A. I don't know him well, but I know most of the producers
- 11 at UEP, just to be -- just set the stage.
- 12 Q. I'm just asking to lay a foundation here to ask you one
- 13 or two other questions.
- 14 A. Sure. Sure, yeah.
- 15 O. Do you have knowledge of -- withdrawn.
- 16 Did -- did anyone from Rose Acre tell you in words
- 17 or substance in February of 2008, that an owner of Rose Acre
- 18 had expressed concerns about the Certified Program and whether
- 19 it was manipulating supply of eggs under false pretenses?
- 20 MR. KING: Objection. Foundation.
- THE WITNESS: No.
- THE COURT: No, does he have knowledge of anything
- 23 or did anyone -- did anyone from Rose Acre say this to him?
- 24 THE WITNESS: No, not that I recall.
- 25 BY MR. BLECHMAN:

- 1 Q. Okay. Dr. Armstrong --
- 2 A. I think I would remember that.
- 3 Q. Dr. Armstrong, do you know that the Supermarket
- 4 Plaintiffs in this case also had no knowledge of those
- 5 communications; do you know that?
- 6 MR. KING: Objection.
- 7 MS. SUMNER: Objection.
- 8 MR. KING: Argumentative.
- 9 THE COURT: Sustained. That's a rather
- 10 argumentative question.
- MR. BLECHMAN: Your Honor, with that, I'll pass the
- 12 witness.
- 13 THE COURT: Okay. Is there -- anybody else want to
- 14 do anything here before we do a redirect?
- MR. HARRIS: No, Your Honor.
- 16 THE COURT: Okay, there's one no.
- 17 What about here? It's a no, thank you?
- MR. LEVINE: No, thank you.
- 19 MR. KING: Well.
- THE COURT: Or maybe?
- 21 MR. KING: Yeah, I just have --
- THE COURT: Go ahead.
- MR. BLECHMAN: Your Honor?
- THE COURT: Yes.
- MR. BLECHMAN: May we approach the sidebar just for

- 1 who is not questioning, and we ask we just be treated the
- 2 same -- that the Defendants' counsel be treated the same as
- 3 the Plaintiffs' counsel in this regard.
- 4 THE COURT: Okay, I don't remember it quite that
- 5 way, but the reason I'm going to allow counsel for Rose Acre
- 6 to ask any questions is because in the last ten minutes there
- 7 were some very pointed series of questions about whether
- 8 anybody from Rose Acre ever said anything.
- 9 MR. BLECHMAN: Correct.
- 10 THE COURT: That is the limit of what I'm going to
- 11 allow. It's not going to be a redo of the direct, okay, or
- 12 whatever -- or whatever it would be, you know, to follow the
- 13 entire panoply. You got what you wanted. You can go sit
- 14 down.
- 15 (End of sidebar.)
- 16 CROSS-EXAMINATION
- 17 BY MR. KING:
- 18 O. Dr. Armstrong, I just have a couple of questions. When
- 19 you were working as the chair of the Scientific Advisory
- 20 Committee for UEP, did you -- you had some interactions with
- 21 buyers of eggs on the wholesale market?
- 22 A. Unless they attended the UEP meeting and I ran into them,
- 23 no.
- Q. Did you have any dealings with any companies that bought
- 25 eggs, like in the supermarket chains?

- 1 A. No.
- 2 Q. You did not. All right.
- 3 The -- are you aware of a website
- 4 www.UEPcertified.com?
- 5 A. Yes.
- 6 MR. BLECHMAN: Excuse me, Your Honor, is this about
- 7 Rose Acre?
- 8 THE COURT: I think it's leading up to Rose Acre.
- 9 Right?
- 10 MR. KING: Well, it's responsive to the -- it was --
- 11 it's not directly related to Rose Acre. Let me ask another
- 12 question.
- 13 THE COURT: Okay.
- 14 BY MR. KING:
- 15 Q. When you were -- when you were appointed to chair the
- 16 Scientific Advisory Committee, to your knowledge, was Rose
- 17 Acre a member of the UEP, if you know?
- 18 A. I assume so.
- 19 Q. All right.
- 20 A. I didn't know that for a fact because I was learning.
- 21 Q. Okay, and if -- if it's already been established that
- 22 Rose Acre joined in 2002, would that be a surprise to you that
- 23 they didn't join UEP until 2002?
- 24 A. I did not keep up with who joined when, so --
- 25 Q. So if Rose Acre -- assume for the moment Rose Acre did

- 1 not join the UEP until 2002, did Rose Acre have any
- 2 involvement in the development of the recommendations that
- 3 ultimately went into the UEP Certified Program in early 2002?
- 4 A. The main pillars of the guidelines really didn't change
- 5 after 2000. It was a matter of some evolution, feeder space,
- for example, we learned more about the birds, but the main
- 7 points were established in 2000.
- 8 Q. All right. And in the 2000 time frame, do you have any
- 9 recollection of having any dealings with anyone at Rose Acre,
- 10 whether it be Marcus Rust, Ky Hendrix, or anyone else?
- 11 A. The only time I would have interacted with them would
- 12 have been in 1997 as I'm touring the state as a new department
- 13 head, but I really don't recall. But I don't --
- 14 Q. You don't have any recollection --
- 15 A. I don't have any recollection.
- 16 Q. -- dealing with anyone at Rose Acre in 1997?
- 17 A. If I dealt with them during the time -- I was a new
- 18 department head in 1997. If I did it was just meeting them
- 19 and touring the state, but I didn't have any interactions --
- 20 if they weren't UEP members, I wouldn't have interacted with
- 21 them --
- 22 Q. You mean a new department head for the --
- 23 A. I was a new department head at Purdue in 1997. 1997 to
- 24 2001 I was at Purdue, which is in West Lafayette, Indiana.
- 25 And as the head of Animal Sciences we would tour around the

- 1 state and get to know all the animal industries.
- 2 MR. KING: Nothing further, thank you, Your Honor.
- 3 THE COURT: Redirect.
- 4 MS. SUMNER: Thank you.
- 5 REDIRECT EXAMINATION
- 6 BY MS. SUMNER:
- 7 Q. Good afternoon, Dr. Armstrong. I just want to follow-up
- 8 on a few things that you discussed with Mr. Blechman yesterday
- 9 afternoon and this morning. The first thing I want to cover
- 10 is the difference between a prescriptive and a
- 11 performance-based standard. Can you explain to the jury the
- 12 difference?
- 13 A. So a prescriptive standard would be in the case of cage
- 14 height, the 16, 17 -- 16 in the front, whatever the number
- 15 would be in the back.
- A performance standard would be all birds can stand
- 17 without their heads protruding through the top of the cage.
- 18 That's an example. Prescriptive versus performance.
- 19 Q. Is one of those preferred over the other by animal
- 20 scientists?
- 21 A. Actually the animal welfare scientists prefer the
- 22 performance standards, but it's harder to audit. But they
- 23 prefer the performance standards because it allows the bird to
- 24 exhibit that behavior, standing up.
- 25 Q. Are the prescriptive standards used more frequently?

- 1 A. They tend to be, because of just the nature of the
- 2 industry and it's hard to, again, audit. We had a lot of
- 3 demand for not only Animal Welfare Guidelines, but at that
- 4 time FMI and NCCR were wanting guidelines that could be
- 5 audited so they could tell their consumers that the eggs were
- 6 produced properly.
- 7 Q. But the scientists prefer the performance standards?
- 8 A. Right. But they recognize that prescriptive is needed
- 9 many times in order to really be able to audit and monitor.
- 10 Q. Thank you.
- I want to go over a few documents that Mr. Blechman
- 12 showed you. If we could bring up together, please,
- 13 Plaintiffs' Exhibit 44 and 45. You may recall early on in
- 14 your testimony, Dr. Armstrong, on cross-examination,
- 15 Mr. Blechman asked you about this May 15, 2000, Producer
- 16 Committee for Animal Welfare meeting in Washington, D.C.,
- 17 which you attended. Do you recall that?
- 18 A. Yes.
- 19 Q. Okay. And did other members of the Scientific Advisory
- 20 Committee attend this meeting with you?
- 21 A. Yes. Dr. Joy Mench, Dr. Janice Swanson, Mr. Don Bell
- 22 were in attendance.
- 23 Q. Were Drs. Mench and Swanson also on the FMI expert
- 24 Scientific Advisory Committee?
- 25 A. Yes, along with Adele Douglass, so we had three members

- 1 that were overlapping.
- 2 Q. And looking at the document that's been marked as
- 3 Plaintiffs' Exhibit 45, which is entitled UEP Animal Welfare
- 4 Committee Meeting May 15, 2000, do you recall ever seeing this
- 5 document before your testimony yesterday?
- 6 A. No.
- 7 Q. Do you know whether you received a copy of this document
- 8 at the meeting you attended on May 15, 2000?
- 9 A. If I did, I don't recall.
- 10 Q. Sitting here today, do you have any recollection as to
- 11 whether the topics that are outlined on this handout were
- 12 actually discussed at that meeting?
- 13 A. I don't recall.
- 14 Q. I'd like to bring up Plaintiffs' Exhibit 164. Let me
- 15 know when you're there, Dr. Armstrong.
- 16 A. I think I can see it.
- 17 Q. Okay, I'm just going to ask you a very brief question
- 18 about this document. This is -- these are notes from an
- 19 Animal Welfare Committee meeting on January 21, 2003; is that
- 20 correct?
- 21 A. Yes.
- 22 Q. And did you attend this meeting?
- 23 A. I do not see my name listed, no.
- 24 Q. And you have no recollection of attending this meeting;
- 25 is that correct?

- 1 A. No.
- 2 MS. SUMNER: I'd like to bring up Plaintiffs'
- 3 Exhibit 186.
- 4 BY MS. SUMNER:
- 5 Q. These are minutes from a UEP Producer Committee meeting
- 6 for animal welfare dated May 12, 2003, in Washington, D.C.
- 7 again. Mr. Blechman asked you some questions about this
- 8 meeting. Do you recall that?
- 9 A. I recall the questions, yes.
- 10 Q. And did you attend this meeting, Dr. Armstrong?
- 11 A. Thank you for the blow-up. No.
- 12 O. The next one is Plaintiffs' Exhibit 261. These are
- 13 minutes from a UEP Board of Directors conference call on
- 14 December 16, 2004, about which Mr. Blechman also asked you.
- 15 Did you participate in this conference call, Dr. Armstrong?
- 16 A. No.
- 17 MS. SUMNER: You can take that down.
- 18 BY MS. SUMNER:
- 19 Q. Dr. Armstrong, Mr. Blechman asked you about several
- 20 articles in United Voices during his examination of you that
- 21 dealt with egg prices.
- Do you recall those questions?
- 23 A. Yes.
- Q. Do you have any independent knowledge of what egg prices
- 25 were doing at the times that you were questioned about them in

- 1 the United Voices articles?
- 2 A. No.
- 3 Q. And do you have any knowledge about whether the
- 4 statements that Mr. Blechman was reading to you out of United
- 5 Voices were, in fact, true statements?
- 6 A. I do not know.
- 7 Q. I'd like to show you an article that -- from United
- 8 Voices and ask you if you have knowledge of this particular
- 9 one.
- 10 MS. SUMNER: Could we bring up just for the witness,
- 11 please, Defendants' Exhibit 903. I don't believe this has
- 12 been admitted yet.
- MR. BLECHMAN: Thank you.
- 14 MS. SUMNER: May I approach the witness, Your Honor?
- 15 THE COURT: Yes.
- 16 BY MS. SUMNER:
- 17 Q. (Handing.)
- 18 A. Thank you.
- 19 Q. Dr. Armstrong, I'd like to direct your attention to the
- 20 second page of this United Voices.
- 21 A. Yes.
- 22 Q. The article that begins on that page, do you recognize
- 23 that article?
- 24 A. Yes, I do.
- 25 Q. And can you tell me what that is?

- 1 A. It's an opinion piece that I wrote, and it was also
- 2 reviewed and edited by the Scientific Committee entitled Egg
- 3 Industry Needs to Be United on Animal Welfare.
- 4 MS. SUMNER: Your Honor, I'd like to offer this
- 5 exhibit into evidence at this time.
- 6 MR. BLECHMAN: Your Honor, Plaintiffs have no
- 7 objection.
- 8 THE COURT: All right. Then D-903 is admitted.
- 9 (Exhibit received in evidence.)
- 10 MS. SUMNER: Can you -- may I publish it to the
- 11 jury?
- 12 THE COURT: Yes.
- 13 BY MS. SUMNER:
- 14 Q. Could you please read for the jury the title of this
- 15 editorial that you wrote, Dr. Armstrong?
- 16 A. Egg Industry Needs to Be United on Animal Welfare.
- 17 Q. And could you explain for the jury what the purpose was
- 18 of writing this editorial?
- 19 A. What I had -- what you could see in the first paragraph,
- 20 I talked about the history. When I was asked to chair the
- 21 committee, to bring experts together, our objective was to
- 22 develop husbandry guidelines for all producers, not just for
- 23 those serving a niche market or only those customers desiring
- 24 welfare guidelines. An industry set of guidelines should be
- 25 that, industrywide.

- 1 Q. I'd like to direct your attention to the last sentence of
- 2 this article on page 3.
- MS. SUMNER: If we could below that up, please.
- 4 BY MS. SUMNER:
- 5 Q. That sentence reads: Developing a separate program that
- 6 permits eggs to be produced under conditions that do not meet
- 7 the humane standards found in the UEP Guidelines is a huge
- 8 mistake for the egg industry and one that could have
- 9 repercussions for all of animal agriculture.
- Do you see that, Dr. Armstrong?
- 11 A. Yes.
- 12 MS. SUMNER: And for completeness sake, I'd like to
- direct the witness back to a passage from his deposition
- 14 testimony that Mr. Blechman read on the false science-based
- 15 guideline and just have the witness put it in context.
- 16 THE COURT: You may, go ahead.
- 17 BY MS. SUMNER:
- 18 O. Do you still have your deposition testimony in front of
- 19 you, Dr. Armstrong?
- 20 A. Yes.
- 21 Q. I'd like to direct your attention to the passage --
- 22 Mr. Blechman asked you about page 154, if you could go there,
- 23 please.
- MR. BLECHMAN: Excuse me, Counsel. If I can just
- 25 get -- if you'll give me a second.

- 1 MS. SUMNER: Oh, sure.
- 2 MR. BLECHMAN: Just give me 15 seconds to catch up
- 3 with you.
- 4 MS. SUMNER: Yes. Let me know when you're ready.
- 5 MR. BLECHMAN: Thank you. Thank you. What page?
- 6 MS. SUMNER: 154.
- 7 MR. BLECHMAN: Okay. Thank you.
- 8 BY MS. SUMNER:
- 9 Q. Are you there, Dr. Armstrong?
- 10 A. Yes.
- 11 Q. And do you recall being asked about your answer to a
- 12 question where your response was: If you are saying that you
- 13 have science-based guidelines and you're not following
- 14 science, that's false.
- Do you remember that?
- 16 A. Yes.
- 17 Q. Okay. I'd like you to back up here to page 153, line 16.
- 18 You were asked, question --
- MR. BLECHMAN: Excuse me, Your Honor. I showed the
- 20 witness this portion of the transcript to refresh his memory.
- 21 I don't think it went any further than that.
- 22 THE COURT: Yes. Except that the jury heard --
- MR. BLECHMAN: Okay.
- 24 THE COURT: -- you -- everybody reading everything.
- MR. BLECHMAN: Fine.

- 1 THE COURT: So in the interest of --
- 2 MR. BLECHMAN: Then I withdraw my request.
- 3 THE COURT: -- lengthy completeness, I'll allow it.
- 4 MR. BLECHMAN: Okay.
- 5 BY MS. SUMNER:
- 6 Q. So you were asked the question, Dr. Armstrong -- last
- 7 sentence of this editorial reads: Developing a separate
- 8 program that permits eggs to be produced under conditions that
- 9 do not meet the humane standards found in the UEP Guidelines
- 10 is a huge mistake for the egg industry and one that could have
- 11 repercussions for all animal agriculture.
- 12 And you were asked: Did you write that sentence?
- 13 Answer: Yes.
- 14 Question: Okay, and what did you mean when you
- 15 wrote that sentence?
- Answer: Exactly what it says. I cannot accentuate
- 17 it any better than exactly what that says. Developing a
- 18 separate program that permits eggs to be produced under
- 19 conditions that do not meet the humane standards found in the
- 20 UEP Guidelines is a huge mistake for the egg industry and one
- 21 that could have repercussions for all of animal agriculture.
- Question: Was it a huge mistake in your view?
- 23 And this is where you gave your answer.
- 24 Answer: Because if you are -- if you are saying
- 25 that you have science-based guidelines and you're not

- 1 following science, that's false. That's a huge mistake, you
- 2 will lose the trust of retailers and consumers that value
- 3 science-based guidelines.
- 4 Did I read that correctly, Dr. Armstrong?
- 5 A. Yes, you did.
- 6 Q. And was that indeed your testimony?
- 7 A. That was indeed my testimony, and it wasn't the
- 8 difference of whether ammonia spiked or not; it was the
- 9 difference of whether some eggs were produced under one
- 10 producer according to the guidelines and then they were still
- 11 being produced at 48.
- 12 And we didn't feel we had to talk about the humane
- and other things until this happened, when producers started,
- 14 you know, talking about a program that would keep birds at
- 15 48 square inches.
- 16 Q. You can go ahead and put that to the side, Dr. Armstrong.
- 17 Do you recall being asked a series of questions
- 18 about your CV and a number of topics in which you do not have
- 19 academic research expertise?
- 20 A. Yes.
- 21 Q. Was there a member of the Scientific Advisory Committee
- 22 who did, in fact, have expertise in hen animal welfare?
- 23 A. Yeah. We really got the dream team, so to speak. We
- 24 got -- we were able to get all the experts that we wanted.
- 25 Whether it was Washington State, UC Davis, Kansas State,

- 1 Purdue, they covered all those topics.
- 2 Q. And who in particular was your expert or were your
- 3 experts on hen animal welfare?
- 4 A. Joy Mench -- Joy Mench, Ruth Newberry, Janice Swanson.
- 5 Scotti Hester is a physiologist, and she worked in the
- 6 physiology of birds. So she's an animal welfare expert as
- 7 well.
- 8 Q. Did you have an expert on beak trimming?
- 9 A. We did.
- 10 Q. And who was that?
- 11 A. That was Scotti Hester, Ruth Newberry and Joy and others,
- 12 but Scotti, I believe, led the effort in beak trimming.
- 13 Q. Did you have experts who participated in research on
- 14 feeder space?
- 15 A. Yes.
- 16 Q. And who were those experts?
- 17 A. So on feeder space, I don't remember all of Joy and
- 18 everybody's CV, but there was a study conducted at Purdue with
- 19 Scotti Hester and a graduate student where they looked at
- 20 feeder space in response to the push and pull we had, should
- 21 it be three, should it be four, or should it be a performance
- 22 standard. And the research revealed performance
- 23 standard's fine because the birds had no problems eating when
- 24 they wanted to.
- 25 Q. Dr. Armstrong, did you have an expert on euthanasia?

- 1 A. Yes. Ruth Newberry.
- 2 Q. And what about on slaughter?
- 3 A. Ruth Newberry.
- 4 Q. Do you recall Mr. Blechman asking you a question as to
- 5 the absence of any research citations in your October 4, 2004,
- 6 letter with the Scientific Advisory Committee's position on
- 7 backfilling?
- 8 A. Yes.
- 9 Q. Okay.
- 10 MS. SUMNER: If we could bring up that exhibit, the
- 11 October 4 letter, it's Defendants' Exhibit 665, please.
- 12 Your Honor, may I approach the witness?
- 13 THE COURT: Yes.
- 14 BY MS. SUMNER:
- 15 O. Dr. Armstrong, I showed you -- I've just handed a
- 16 document to you. Do you recognize that document?
- 17 A. I do.
- 18 O. Can you tell me generally what this is, please?
- 19 A. This is a review article written by PY Hester, Scotti
- 20 Hester, on the impact of science and management on the welfare
- 21 of egg-laying strains of hens.
- 22 Q. And is this the article that you testified yesterday was
- 23 the source for the second paragraph of the October 4 letter
- that is marked as Defendants' 665?
- 25 A. Yes.

- 1 Q. And is the specific paragraph that was the source for
- 2 your letter the second paragraph on the second page of this
- 3 article under the heading Biosecurity and Health?
- 4 A. Yes.
- 5 Q. Are there scientific references or citations in that
- 6 paragraph?
- 7 A. Yes. And this is a peer-reviewed article.
- 8 Q. How many scientific references are there in that
- 9 paragraph in the article from which you took the second
- 10 paragraph of your October 4, 2004 letter?
- 11 A. Let me find it again. Okay, I have it. There are three
- 12 in that paragraph.
- 13 O. Why didn't you put those scientific references in your
- 14 October 4, 2004, letter?
- 15 A. Because the letter's coming from a group of experts that
- 16 know the literature, and we normally wouldn't put that in a
- 17 letter or an e-mail. It's not a common practice.
- 18 Q. Thank you. You can put that to the side.
- 19 You testified earlier that the air quality and
- 20 ammonia in a henhouse is highly correlated with the style of
- 21 the building. Can you explain what you meant by that?
- 22 A. So a big factor on the ammonia level in the building is
- 23 whether the manure is left in the building or not and it is
- 24 also the ability to ventilate. So, again, just from a common
- 25 sense perspective, I'm not an expert on ventilation or

- 1 ammonia, you move more air, you're going to get the ammonia
- 2 out. When it's really cold, it's very difficult to move air
- 3 because it's expensive to heat the air.
- 4 And so when we looked at ammonia, when we looked at
- 5 the space allowance, we looked at molting, there was a
- 6 transition recognized because there's a lot of practices and,
- 7 in particular, for ammonia in the space per bird, it's
- 8 correlated with the housing type, which can't be changed
- 9 overnight.
- 10 Q. And was it your understanding that the industry would
- 11 address the ammonia problem through a transition in the style
- of buildings that were built?
- 13 A. Yes. Yes. And we observed that on several occasions.
- 14 Actually touring some of the facilities.
- 15 MS. SUMNER: Thank you very much, Dr. Armstrong. I
- 16 have no further questions.
- 17 THE COURT: Mr. Blechman, any recross?
- 18 MR. BLECHMAN: Very short.
- 19 RECROSS-EXAMINATION
- 20 BY MR. BLECHMAN:
- 21 Q. Dr. Armstrong, did you consider yourself a member of this
- 22 dream team that you described?
- 23 A. Not so much, because they were the animal welfare
- 24 experts. I was the chair of the committee.
- 25 Q. Not so much. Does that mean you considered yourself a

- 1 part of the dream team but not as much as maybe others?
- 2 A. When I've used the phrase "dream team" I'm not referring
- 3 to myself to be exact. I'm referring to the scientists on the
- 4 committee.
- 5 Q. Okay.
- 6 A. And I typically wouldn't talk about myself in that way.
- 7 Q. Have you in the past?
- 8 A. Talked about the dream team.
- 9 Q. Including yourself in that reference?
- 10 A. I -- I may or may not have, but this is my frame of mind
- 11 today.
- 12 Q. Ah, okay.
- 13 A. Yeah.
- 14 Q. Might you have, under oath, previously?
- 15 A. I may have.
- 16 Q. Okay.
- 17 A. Yeah.
- 18 O. All right. Next subject. Rose Acre and the points
- 19 audit. Sir, you were asked some questions by Rose
- 20 Acre's counsel about the audit and what you knew or didn't
- 21 know. Here's my question to you.
- MR. KING: Objection.
- 23 THE COURT: I'm not sure that I recall the word
- 24 "audit." It had to do with a chronology.
- MR. BLECHMAN: Oh, do you know what, if I misspoke

- 1 then I -- I was passed a note. I'm just actually going to
- 2 move on because I'm not understanding the note that I have.
- 3 So I withdraw the remark.
- 4 BY MR. BLECHMAN:
- 5 Q. This is the other subject matter I wanted to cover with
- 6 you. With regard to your October 4, 2004, letter to the UEP
- 7 Producer Committee, Exhibit 409. Do you have that?
- 8 A. I have it in front of me.
- 9 Q. Okay. First off, let's talk about timing. You send this
- 10 letter, it's dated October 4, 2004, correct?
- 11 A. Yes.
- 12 Q. All right. The publication that you referred to -- and
- 13 forgive me, I didn't --
- MR. BLECHMAN: Is this in evidence, the article,
- 15 or --
- MS. SUMNER: I didn't move it.
- 17 MR. BLECHMAN: Okay. All right.
- 18 BY MR. BLECHMAN:
- 19 Q. Do you have the article in front of you?
- 20 A. I do.
- 21 Q. This is the article that Dr. Hester wrote that you
- 22 alluded to -- that you referred to in your answers to
- 23 counsel's questions a few minutes ago, yes?
- 24 A. Yes.
- 25 Q. All right. This article's published in 2005, correct?

- 1 A. That's correct.
- 2 Q. Okay. Now, I actually have a copy of it, so let's just
- 3 do this one exercise, if we might. We've already
- 4 established -- you've already confirmed that your letter to
- 5 the UEP Producer Committee contains no literature cites at
- 6 all, right?
- 7 A. That is not a typical practice for us to put literature
- 8 cited in the letter.
- 9 Q. So the answer is yes, correct?
- 10 A. Correct, there's no references.
- 11 Q. Okay. And we've already been through the Exhibit 52,
- 12 Plaintiffs' Exhibit 52. That's the September 2005
- 13 recommendations -- excuse me, I misspoke.
- 14 That's the September 2000 recommendations that your
- 15 committee presented to the UEP?
- 16 A. Um-hum.
- 17 Q. Correct?
- 18 A. Correct.
- 19 Q. And we went through the number of literature cites that
- 20 were in molting, which was used as an example, right?
- 21 A. Correct.
- 22 Q. All right. Now, the article that you're referring to by
- 23 Dr. Hester in 2005, that you're relying on as being the
- 24 academic literature support for this backfilling explanation
- 25 you've given, on page 2, third paragraph, last few lines of

- 1 that paragraph, those are the literature cites that Dr. Hester
- 2 provides in this article about backfilling, correct?
- 3 A. Correct.
- 4 Q. All right. And those cites are provided on the last two
- 5 lines of the third paragraph on this second page of the
- 6 article, correct?
- 7 A. Correct.
- 8 Q. All right. Would you tell the jury what are the years of
- 9 the publication -- of the articles that are cited by
- 10 Dr. Hester for her backfilling references in this article that
- 11 you've explained that you're relying on? What are the years
- 12 of those academic articles?
- 13 A. 1961, 1969, and 1969.
- 14 Q. Now, let's compare that, if we might, Dr. Armstrong, with
- 15 the quality of the academic literature that you and your group
- 16 relied on in the recommendations for, say, September of 2000,
- 17 with regard to each of the measures that are contained
- 18 therein. Let's go back to the page that you and I discussed
- 19 earlier regarding molting. Do you have page 14 in front of
- 20 you? Just tell me when you do.
- 21 A. Yes.
- 22 Q. Okay. And that -- just to make sure we're on the same
- 23 page, that's the page that ends with PX and then the last two
- 24 digits on the very bottom center are 14. Do you have that?
- 25 A. Yes.

- 1 Q. All right. Now, this last paragraph, which you looked at
- 2 earlier --
- 3 MR. BLECHMAN: If we might put that up.
- 4 BY MR. BLECHMAN:
- 5 Q. -- that contains the literature cites that your group
- 6 relied on for the molting recommendations, right?
- 7 A. Yes.
- 8 Q. All right. Go through this paragraph. And if you would
- 9 confirm for me, the literature cites for the academic
- 10 literature that was deemed to be relied on by the Scientific
- 11 Advisory Committee in their recommendations in the
- 12 September 2000 recommendations for molting included articles
- 13 from 1999? Correct?
- 14 A. Yeah, the last paragraph, '99, '93, '99.
- 15 O. Slow down, slow down.
- 16 A. '90.
- 17 Q. 1993, yes?
- 18 A. '92, '95, '95, '98, '99, '92, '99, '99, '83, '92, and
- 19 '95.
- MR. BLECHMAN: Thank you, sir.
- No other questions, Your Honor.
- MS. SUMNER: Nothing further, Your Honor.
- MR. HARRIS: Nothing from USEM.
- MR. KING: No.
- THE COURT: Okay, Dr. Armstrong, you may step down.